Papers

1

Watershed Sustainability and Composite Index: Application and Challenges

Bruna Angela Branchi¹

Keywords: Abs	stract
Sustainability Indexes The Indicators disc Water Resources just the this exp indi wat of th Env fifte suss peri inde in t of th is a sust app	an end for efficient water resources management highlights the importance of pussing the watershed sustainability issue. This is a complex subject, which iffies the choice for multidimensional indexes. The aim of this paper is to discuss assessment of watersheds' sustainability through composite indices to detect a statistical instrument's strengths and weaknesses. This is a descriptive, loratory, and quantitative research. The literature review on sustainability tees and their application in hydrographic basins guided the selection of a ershed sustainability index (WSI). The dual approach that combines the criteria he Pressure-State-Response (PER) model with the dimensions of the Hydrology- trironment-Life-Policy model (UNESCO HELP model) guided the selection of the een indicators that were chosen. The WSI was used to assess the degree of tainability of the Piracicaba, Capivari and Jundiaí river basins during two lods in the 2010s. The analysis of the results detected a minor decrease in the ex's value, due to the Hydrology and Politics dimensions that recorded a setback he second half of the decade under analysis. The result was not worse because he remarkable improvement recorded in the Life dimension. This compensation a weakness of the WSI that implicitly promotes the concept of weak tainability. On the other hand, the selection of indicators guided by the dual roach is particularly interesting and challenging by connecting the PER criteria in the dimensions of sustainability well summarized in the HELP model.

¹ Pontifícia Universidade Católica de Campinas - PUC-Campinas, Campinas, SP, Brazil. bruna.branchi@puc-campinas.edu.br

INTRODUCTION

Managing water resources based on and guided by up-to-date, summarized evidence benefits from the availability of straightforward, accurate statistical tools. Due to the complexity of such an issue, composite or multidimensional indices are necessary. Academic literature offers several indices that tackle challenges in water management, namely Water Poverty Index (SULLIVAN, 2002), Watershed Sustainability Index (CHAVES; ALIPAZ, 2007), Water Footprint (HOEKSTRA, CHAPAGAIN, 2007), among others.

This paper presents a literature review and an application of the Watershed Sustainability Index (WSI) in the version developed by Chaves and Alipaz in 2007. The chosen index stands out combining two relevant conceptual for benchmarks that guide the choice of the indicators, the PSR (Pression-State-Response) and HELP (Hydrology-Environment-Life-Policy) models. Such an index was applied to the Piracicaba, Capivari and Jundiaí (PCJ) rivers' watersheds throughout two periods during the decade of 2010.

This paper is the result of a research guided by two questions: a) How to measure and assess watershed sustainability?; b) Does the chosen tool meet water resources management's needs for information?

This is an exploratory, descriptive research, with a quantitative approach, whose methodology is described in further detail in section 2, after the literature review on sustainability indicators and indices. The third section presents the results of the PCJ watersheds' sustainability assessment/.

LITERATURE REVIEW

The development model focused on economic growth has been criticized since the decade of 1970 in the past century, when it was found that it was impossible to ensure economic welfare for all without threatening natural resources. In the 1972 United Nations meeting in Stockholm, the need for a shift in the development paradigm was emphasized, since the traditional one did not ensure neither social equality nor environmental awareness. But only in 1987, the definition of sustainable development arose in the Brundtland Report (BARBIERI, 2020).

Two other events conducted under the aegis of the United Nations are especially relevant while studying sustainability indicators: Rio Conference in 1992, with the development of the "Agenda 21" report, and the New York Summit in 2015, with the development of "Agenda 2030."

In chapter 40 of Agenda 21, "Information for decision-making", it is stressed the need for improving information availability, as well as for reducing inequality regarding data accessibility.

Indicators of sustainable development need to be developed to provide solid bases for decision-making at all levels and to contribute to a self-regulating sustainability of integrated environment and development systems (UNCED, 1992).

The acknowledgment of indicators as essential elements for decision-making implies that they must be available to all stakeholders, enabling an active participation in developing and monitoring actions toward sustainable development. (BARBOSA; CÂNDIDO, 2018; BELLEN, 2006; MALHEIROS; COUTINHO; PHILIPPI, 2012a; 2012c; VEIGA, 2010).

Agenda 2030 (UN, 2015), in turn, defines the 17 Sustainable Development Goals (SDG) that must guide actions toward a form of development that encompasses economic, social and environmental dimensions. Among natural resources, water is an essential resource for life and societal development, regarding both economy and environment. Water resources management sustainability implies actions related to water resources use and protection, in compliance with the current legislation, as well as the monitoring of such actions. SDG 6 aims to "Ensure access to water and sanitation for all".

The formulation of development policies as well as the monitoring of their results benefits from objective, preferably quantitative tools, namely sustainability indicators (BOULANGER, 2008; 2018; GUIMARÃES; FEICHAS, 2009).

An indicator may be defined as

... a measure that summarizes important information on a specific phenomenon. The point is that what is actually measured has a meaning greater than just the value related to such a measurement (MALHEIROS; COUTINHO; PHILIPPI Jr, 2012b, p. 35).

Indicators are abstractions of reality and may be incomplete or partial representations of it. Also, they are interpreted according to a set of hypotheses that reflect the values of those choosing the indicators and which determine what must be measured. Thus, the selection of indicators is a crucial stage for the assessment process, since the collected information may influence the formulation of public policies, as actions are conducted when there are differences between the goals and the state of the perceived system (measured by the indicators) (BELL; MORSE, 2008; 2018; JANNUZZI, 2017; MEADOWS, 1998).

In this sense, sustainability indicators are useful tools for measuring complex phenomena such as sustainable development, making it easier to monitor its economic, social and environmental factors, identifying the relations between the parties and favoring the identification of hindrances (MAYNARD; CRUZ; GOMES, 2017). The representation of reality through numeric indicators is beneficial when it gives visibility to relations otherwise invisible (ROTTENBURG; MERRY, 2015, p. 7-8). On the other hand, its capacity to synthesize complex phenomena may suggest a limitation in the use of indicators if this means an oversimplification of reality (WITULSKI; DIAS, 2020).

In short, indicators that work as tools for sustainability assessment must enable the measurement of different dimensions of complex social phenomena, be firmly based on theory, and be sensitive to properly capture shifts in the object of study. They mustalso be straightforward, in order to convey results to the non-specialized, general public, and he replicable, enabling theconstruction of historical series, essential for monitoring the evolution of an object of assessment (CARVALHO; BARCELLOS, 2010;GUIMARÃES; FEICHAS, 2009; HARDI; ZDAN, 1997; PINTÉR et al., 2011).

Watershed sustainability

In the academic literature, there are different indices that seek to include the contribution of water resources in sustainable development. According to Silva et al. (2020), the Watershed Sustainability Index (WSI), proposed by Chaves and Alipaz, is the most used index for assessing watershed sustainability. It was chosen for this research due to two reasons: Spatial contour and integrated view.

Regarding the first reason, the contour related to the watershed space is due to the fact that the Federal Act 9,433 from 1997 and the National Environmental Council's Resolution 001 from 1986, which define the Brazilian national policy for water resources, appointed watersheds as territorial units for planning. "...[A]mong its goals, we may highlight: The maintenance of quantity and quality of several usages throughout time, the rational, integrated use of water resources aiming at sustainability and at the prevention of critical hydrological events either of natural original or due to anthropogenic interference (LACERDA; CÂNDIDO, 2013, p. 19).

Watershed Committees are collegiate organizations composed of representatives of the executive branch, of water users, and of civil society, which, among other activities, are responsible for the coordinated management of water resources in order to achieve economic and social welfare, and to protect the environment. Such distinct representatives have unequal knowledge and benefit from sustainability assessments that are easily interpretable and replicable.

The second reason which guided the choice for WSI regards the need for an integrated view on physical, biotic and anthropic environments when planning and management of watersheds are defined.

As mentioned in the end of the previous sections, when facing complex phenomena, it is necessary to have information systems, or ordering benchmarks, that provide guidelines for choosing indicators (MEADOWS, 1992). Among such systems or ordering benchmarks that address the environmental and sustainable development issue proposed by Quiroga (2005), we may mention the Pressure-State-Response (PSR) model.

The category of Pressure-State-Response from models stems Canada's National Statistical Agency's report developed by Rapport and Friend in 1979, in order to develop an environmental accounting system based on the Stress-Response Environmental Statistical System, S-RESS). It is a model devised to describe the environmental condition and the dynamic processes (stressing forces) that modifies it, as well as the response dynamics. The authors define the approach that examines the impacts of human activities on the environment as Stress-Response (or Pressure-Response).

The Pressure-Response model inspired some approaches for the selection of environmental indicators adopted by international organizations, namely: The United Nations Environment Programme (UNEP), which in 1995, developed the Pressure-State-Impact-Response (PSIR) approach for the Global Environment Outlook-Cities (GEO-Cities, PNUMA. United 2004); the Nations Commission on Sustainable Development (CSD), which from 1996 to 2001, used the Driving Force-State-Response (UN, 2007) model; the Organization for Cooperation and Economic Development (OECD, 2003), with the Pressure-State Response (PSR) model, and the European Environment Agency (EEA, 1999) which developed the Driving Forces-Pressure-State-Impact-Response (DPSIR) model.

In brief, the category of Pressure-State-Response models summarizes the causal relations between human actions and natural resources. Thus, it provides guidelines for the selection of Pressure indicators, that is, those that describe the influence of anthropic actions on the environment. Such activities modify the quality and the quantity of natural resources (measured by the State indicators) and elicit reactions that seek to limit human actions' effects (summarized by the Response indicators).

In recent research on the papers available on Biblioteca Digital de Teses e Dissertações (Brazilian Digital Library of theses and dissertation), eleven papers, including theses and dissertations defended throughout the period between 2006 and 2018, which applied the DPSIR method in studies concerning Brazilian watersheds (BRANCHI; FERREIRA, 2020). Vollmer; Regan and Adelmann (2016) reviewed the methodology of 95 indices in an international bibliographic research on academic literature and papers from organizations dedicated to the theme of water management. Out of those, 14 were based on PSR and/or DPSIR models.

Unlike the PSR model, the HELP model proposed by UNESCO's International Hydrological Programme (IHP) and World Meteorological Organization (WMO) (UNESCO, 2001). The HELP model, specifically devised for the integrated management of watersheds, makes it possible to identify indicators related to hydrological (H), environmental (E), life (L) and policy (P) subjects. Thus, it is a model closer to a multidimensional approach for sustainable development (JUWANA et al., 2012).

The joint application of PSR and HELP models becomes particularly interesting in the systematization of sustainability indicators, especially when they are employed to assess sustainable development, since such a concept is usually defined as identifying multiple dimensions.

Watershed sustainability assessment indices

In Chart 1, there is an example of integrated usage of the HELP model to classify indicators and the PSR model in the definition of parameters, inspired by the methodology proposed by Chaves and Alipaz (2007).

	Pressure	State	Response
Indicators		Parameters	
Hydrology	Variation of the availability of water per capita	Availability of water per capita	Evolution of water usage efficiency
	Variation of the watershed water quality Index	Watershed water quality annual average	Evolution of sewage treatment
Environment	Index of anthropic pressure on the watershed	% of the watershed area with natural vegetation	Evolution of the preservation areas in the Watershed
Life	Variation of the human development Index-Income	Human development Index (HDI) in the watershed	Evolution of the HDI in the watershed
PolicyVariation of the human development Index-Education		Institutional and legal capacity for the Integrated Management of	Evolution of expenditure with the Integrated Management of
	Adapted from Mormand	Water Resources in the watershed	Water Resources in the watershed

Chart 1 - Example of Indicators selected for the assessment of watershed sustainability in accordance with the PSR and HELP models.

Source: Adapted from Maynard, Cruz and Gomez (2017), p. 212.

The pioneering work of Chaves and Alipaz (2007) assessed the sustainability of São Francisco Verdadeiro River's watershed from 1996 to 2000. According to those authors, the proposed index contributes for water resources planning and management. They also have the potential of guiding water resources management with a sustainable development approach, identifying bottlenecks.

In 2008, WSI was applied to the Panama Canal Watershed (UNESCO, 2008). Since then, such methodology has been applied in several countries, among which: Reventazón river's watershed, in Costa Rica (CATANO et al., 2009); Elqui river's watershed in Chile (CORTÉS et al., 2012); Chhattisgarh river's watershed in India (CHANDNIHA; KANSAL; ANVESH, 2014); Japaratuba river's watershed (MAYNARD; CRUZ; GOMES, 2017), and Piranha-Acu river's watershed in Brazil (COSTA and SILVA et al., 2020). Therefore, such methodology has become relevant in the academic literature. In all papers mentioned here, the sustainability index was applied in only one period, and sometimes the results of different watersheds were compared. This paper assesses the PCJ watersheds' sustainability throughout two periods from the

2010s, aiming to assess that index's capacity to monitor sustainability evolution throughout time.

METHODOLOGY

This is a descriptive, explanatory, applied research, of the quantitative type. The data employed in the development of sustainability indicators are available in public bases detailed in Chart 2, accessible via the internet. For this reason, the year is not included, except in particular instances.

The index was applied to the Piracicaba, Capivari and Jundiaí rivers' watersheds throughout two periods: 2011-2015 and 2015-2019.

The Pressure, State and Response indicators were calculated for each of the HELP model's four dimensions. For the Hydrology dimension, the variables considered were those related to both water quantity and water quality. In Charts 2a-2d, there are the indicators, their definitions and the source of the data used in the PCJ watersheds' sustainability assessment.

	Indicator	Definitions	Sources ^(*)
Pressure	Variation of the availability of water per capita	Variation of the availability of water per capita (m3/hab./year).	° AGÊNCIA PCJ (2021a)
	Variation of the watershed water's quality Index.	Variation of the Biochemical Oxygen Demand (BOD.	° CETESB (2021)
	Availability of water per capita	Average availability of water per capita (m3/hab./year).	° AGÊNCIA PCJ (2021a)
State	Annual average of the watershed water's quality Index	Long-term average of the BOD for the Watershed (mg/l).	° CETESB (2021)
Response	Evolution of the water use efficiency	Variation of the sectorial Gross Added Value quotients' average compared to the water demand volume taken from the same sector (R\$/m3).	° ANA (2019a) ° IBGE (2021)
	Evolution of the sewage treatment	Proportion of domestic sewage treated compared to the generated amount – variation.	° CETESB (2021)

Chart 2a - Hydrology: Selected indicators, definition and data source

Elaborated by the author (2022).

	Indicator	Definitions	Sources ^(*)
Pressure	Environmental Pressure Index	Average of the percentual variation of the agricultural area, and of the percentual variation of the urban population.	 IBGE (2021) SEADE (2021a) FJP (2021a)
State	Percentage of the watershed area with natural vegetation	Percentual of the watershed area with natural vegetation	° AGÊNCIA PCJ (2021b)
Response	Variation of the protected areas	Variation % of the protected areas throughout the period	° AGÊNCIA PCJ (2021b)

Chart 2b - Environment: Selected indicators, definition and data sources	
--	--

Elaborated by the author (2022).

Chart 2c - L	Life: Selec	ed indicators	, definition a	nd data sources

	Indicator	Definitions	Sources ^(*)
Pressure	Variation of the watershed's per capita income	Variation of the real municipal per capita GDP	° IBGE (2021)
State	Human Development Index weighted by the population	Social Responsibility Index weighted by the population	 SEADE (2021b) FJP (2021b)
Response	Variation of the development index	Variation of the Social Responsibility Index	 SEADE (2021b) FJP (2021b)

Elaborated by the author (2022).

Chart 2d - Policy: Selected indicators, definition and data sources

	Indicator	Definitions	Sources ^(*)
Pressure	Variation of the education index during the current period, compared to the previous period	Variation of the Social Responsibility Index – Education component	 SEADE (2021b) FJP (2021b)
State	Institutional capacity of the integrated management of water resources in the watershed	Level of legal, institutional and participative frameworks on management	Subjective assessment of the existence of a Watershed Bureau and of the law enforcement
Response	Evolution of the expenditure on the integrated management of water resources in the watershed	Expenditure on recovery, conservation and protection policies for riverheads	° AGÊNCIA PCJ (2021b)

Elaborated by the author (2022).

The selected indicators are almost entirely quantitative, except for the State indicator related to the Policy dimension.

In order to monitor the Pression and Responses elements of each dimension, the variations of the indicators were calculated in the selected periods of time: 2011-2015 and 2015-2019. For the State indicators, values related to the last period of the analyzed period were used. When there lacked information on the chosen year, information on the closest year available was selected.

Most of the time, the selected indicators were available in the mentioned sources, with little

additional development. However, the water use efficiency was calculated according to the methodology proposed by Agência Nacional das Águas (ANA, 2019b), the federal agency responsible for the implementation of Brazilian water resources management, for the 6.4.1 indicator. Such an indicator is calculated by dividing the gross added value of a sector by the volume of water demand taken from the same sector. In this case, for water efficiency, the three big sectors' average was used: agriculture, industry and services from each municipality in the PCJ watersheds. Monetary values of the added value were deflated using the implicit deflator of the Gross Domestic Product with prices from 2015.

For devising the index, all indicators were transformed so that a value ranging from 0 to 1 could be assigned to the values observed (Table 1). Such transformation is essential, considering the heterogeneity of the indicators' measurement units.

Table 1 - Correspondence between values and scores of the selected i	indicators
---	------------

		Values		
	Pressure	State	Response	Scores
(H1) Hydrology-				
Quantity	< -20%	< 1700	< 0	0.00
	[-20%, -10%)	[1770, 3400)	[0, 5%)	0.25
	[-10%, 0)	[3400, 5100)	[5%, 10)	0.50
	[0, +10%)	[5100, 6800)	[10%, 15)	0.75
	$\geq 10\%$	≥ 6800	$\geq 15\%$	1.00
(H2) Hydrology-				
Quality	$\geq 20\%$	≥ 10	Very weak	0.00
	[10%, 20%)	[10, 5)	Weak	0.25
	[0, 10%)	[5, 3)	Medium	0.50
	[-10%, 0)	[3, 1)	Good	0.75
	< -10%	< 1	Great	1.00
(E) Environment	$\geq 20\%$	< 5	< -10%	0.00
	[10%, 20%)	[5, 10)	[-10%, 0)	0.25
	[5%, 10%)	[10, 25)	[0, 10%)	0.50
	[0, 5%)	[25, 40)	[10%, 20%)	0.75
	< 0%	≥ 40	$\geq 20\%$	1.00
(L) Life	< -20%	< 0.5	< -10%	0.00
	[-20%, -10%)	[0.5, 0.6)	[-10%, 0)	0.25
	[-10%, 0)	[0.6, 0.75)	[0, 10%)	0.50
	[0, +10%)	[0.75, 0.9)	[10%, 20%)	0.75
	$\geq 10\%$	≥ 0.9	$\geq 20\%$	1.00
(P) Policy	< -20%	Very weak	< -10%	0.00
	[-20%, -10%)	Weak	[-10%, 0)	0.25
	[-10%, 0)	Medium	[0, 10%)	0.50
	[0, +10%)	Good	[10%, 20%)	0.75
	$\geq 10\%$	Great	$\geq 20\%$	1.00

Source: Adapted from Chaves and Alipaz (2007).

The calculation of the sustainability index occurs in two stages. In the first step, the subindices of the HELP dimensions are calculated based on the PER indicators of each dimension with the following formula:

$$Subindex_i = \frac{P_i + E_i + R_i}{3}$$

Considering $Subindex_i = H$ if the dimension is hydrology, E if it is the environment, L if the dimension is life, and P if the dimension is policy.

On the second stage, the sustainability index (Watershed Sustainability Index - WSI) is calculated as the arithmetic average of the subindices:

$$WSI = \frac{H + E + L + P}{4}$$

The interpretation of the final result employed in the academic literature is: Low sustainability if WSI < 0.1; medium if $0.5 \le$ WSI ≤ 0.8 and high if WSI > 0.8.

In this methodology, the option of perfect exchangeability between the subindices is implicit. That implies the acceptance of the concept of weak sustainability or compensation between the alterations measured by the subindices, considering the decrease in one dimension is compensated by the increase in other one(s) (NARDO et al., 2005)

RESULTS AND DISCUSSION

The Piracicaba, Capivari and Jundiaí (PCJ) rivers' watersheds belong to the Tietê river's watershed, in the hydrographic region of the Paraná river, with an area of about 15 thousand km², out of which 92.5% are located in the state of São Paulo and the remainder in Minas Gerais (Figure 1). The area of the PCJ watersheds is mostly assigned to: Rural fields (25%), native forests (20%), sugar cane (19%) and urban areas (12%). There, 44 Conservation Units can be found, out of which 25% have full protection and the remainder are sustainably used (CONSÓRCIO PROFILL-RHAMA, 2020).

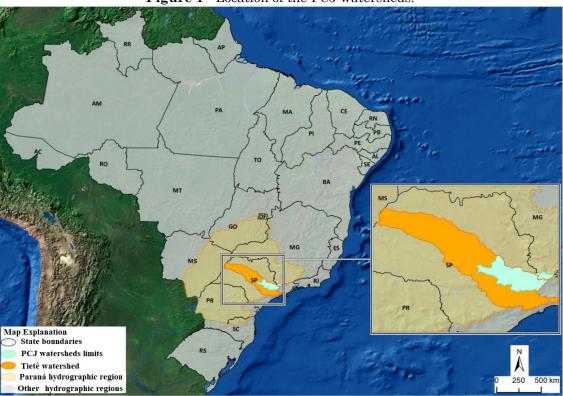


Figure 1 - Location of the PCJ watersheds.

Source: Consórcio Profill-Rhama (2020) p. 41.

Across the PCJ watersheds, there are 76 municipalities, out of which 71 belong to the State of São Paulo. In that area, with a rate of urbanization of 96[°], about 5.8 million people live (CONSÓRCIO PROFILL-RHAMA, 2020). Its main economic activities are agriculture, cattle raising and industry. It is an economically important area, responsible for 7% of the GDP of Brazil. The education, health and income conditions are very high, and virtually all its municipalities have very high rates of Human Development. According to Relatório Síntese do Plano de Bacia 2020-2035, 94% of the total population have access to water supply, 90% have sewage collection and 83% of the collected sewage is treated (CONSÓRCIO PROFILL-RHAMA, 2020).

According to that report, the PCJ watersheds are always under water stress, with water availability rates under 1000 cubic meters per capita/year. The population growth and the economic activities are pressure factors on the water demand and on its quality.

According to the methodology detailed in the previous section, the indicators, the subindices and the sustainability index (WSI) of the PCJ

watersheds were calculated throughout the two periods 2011-2015 and 2015-2019 (Tables 2 and 3).

	Values			Scores			
	Pressure	State	Response	Pressure	State	Response	Index
		2011-201	5		2011-201	5	
(H1) Hydrology- Quantity	-5.12	1027.92	-0.37	0.50	0.00	0.00	0.415
(H2) Hydrology- Quality	-2.88	8.95	21.40	0.75	0.25	1.00	0.417
(E) Environment	0.88	12.60	0.00	0.75	0.50	0.50	0.583
(L) Life	0.15	0.55	-0.27	0.75	0.25	0.00	0.333
(P) Policy	16.88	good	> 20%	1.00	1.00	1.00	1.000
		PC	J watershed	ls' Sustain	ability I	ndex (WSI)	0.583

Table 2 - WSI results and their components, PCJ Watersheds, 2011-2015.

J watersheds' Sus<u>tainability Index (WSI) |</u>

Source: The author (2022).

Table 3 - WSI results and their components, PCJ Watersheds, 2015-2019.

	Values			Scores			
	Pressure	State	Response	Pressure	State	Response	Index
		2015-2019)		2015-201	9	
(H1) Hydrology- Quantity	-3.97	981.06	9.32	0.50	0.00	0.50	0.333
(H2) Hydrology- Quality	42.09	8.95	7.16	0.00	0.25	0.75	0.333
(E) Environment	2.07	22.43	0.00	0.75	0.50	0.50	0.583
(L) Life	0.12	0.59	7.49	1.00	0.25	0.50	0.583
(P) Policy	4.89	good	>20%	0.75	1.00	1.00	0.917
		PCJ	watershed	ls' Sustain	ability I	ndex (WSI)	0.604

Source: The author (2022).

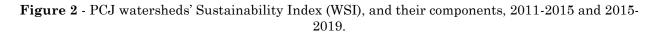
The PCJ watersheds' WSI shows medium sustainability throughout the decade of 2010, with a moderate improvement in the second half of the decade.

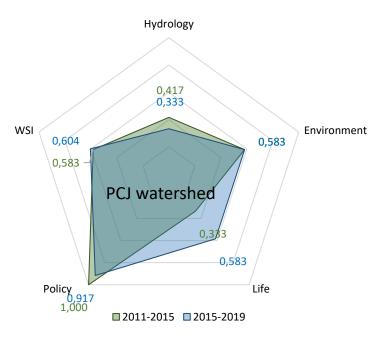
In the first half of the decade, the subindex related to the social dimension (Life) registered the worst contribution to the PCJ watersheds' sustainability. That result can be ascribed to an aggravation of the social responsibility index in the municipalities of that region (Response dimension). The recovery in the following period raised the subindex to medium levels. Such improvement was partially compensated by the variation registered in the Hydrology dimension, especially in the water quality indicators. In this sense, there was an increase in Pressure that caused a decrease in water quality and a less intense response than in the first half of the decade considered. The response indicator is the variation of the sewage proportion that in the 2015-2019 years was not as fast as previously.

The Policy dimension is remarkable for its huge contribution to the PCJ watersheds' sustainability. It should be highlighted that this dimension includes education indicators (Pressure dimension), legal and institutional frameworks (State) and expenditure on restoration, conservation and protection policies for riverbeds (Response). In the second period, there was a slight decrease in that subindex caused by the slowdown in the educational progress registered in the municipal Social Responsibility Index component.

The observed compensation is no surprise since the adoption of a weak approach on sustainability is implicit in the methodology of such an indicator. However, it represents a serious limitation when the WSI is used in a temporal analysis of sustainability. This is even more relevant for years before a new water crisis occurs in those watersheds, when the involution of hydrologic indicators should warn the water resources managers about the aggravation of problems.

Such a limitation may be partially overcome if the global value of the WSI is always followed by the subindices' values, such as in Tables 1 and 20 and in Figure 2. The graphic representation excels in the communication of results for the visualization of a transformation, going beyond the WSI's mere numeric comparison and illustrating which are the most critical dimensions regarding sustainability in the PCJ watersheds.





Source: The author (2022).

FINAL CONSIDERATIONS

The development of the WSI sustainability index inspired by the PER and HELP models brings closer the sustainability analysis of the watersheds and the usual dimensions of sustainable development, making analysis, interpretation and results communication easier.

WSI shows typical problems of composite indices and makes it clear that the hypothesis on the weak sustainability in the aggregation by simple average is a clear limitation when that index is used in intertemporal comparisons. Thus, the joint analysis of subindices proves to be necessary. In the case of the PCJ watersheds in the second half of the decade of 2010, there was a smaller unbalance between the HELP dimensions due to the improvement of the Life subindex. The WSI's improvement was not higher due to setbacks registered in the Hydrology and Policy subindices.

Finally, if WSI is used as a tool for guiding an efficient management of water resources, it is urgent to accelerate the availability of official statistics, necessary for its development, particularly georeferenced statistics concerning the HELP model's four dimensions' variables.

REFERENCES

- AGÊNCIA PCJ Fundação Agência das bacias PCJ.. **Informações das bacias**. Available: http://www.agencia.baciaspcj.org.br/novo/polit ica-de-mananciais-pcj/projeto-luisa. Accessed on: November 14, 2020.
- AGÊNCIA PCJ Fundação Agência das bacias PCJ. Banco de indicadores. 2021a. Available: https://agencia.baciaspcj.org.br/instrumentode-gesto/relatorios-de-situacoes/. Accessed on: June 20, 2021.
- AGÊNCIA PCJ Fundação Agência das bacias PCJ. Instrumentos de gestão: Plano de recursos hídricos e Relatórios de Situações. 2021b. Available: https://agencia.baciaspcj.org.br/instrumentos-

de-gestao/. Accessed on: June 20, 2021.

AGÊNCIA PCJ – Fundação Agência das bacias PCJ. Execução Orçamentária. 2021c. Available:

https://agencia.baciaspcj.org.br/transparencia/ execucao-orcamentaria/. Accessed on: June 30, 2021.

- ANA -Agência Nacional das Águas. Manual de Usos Consuntivos da Água no Brasil. Brasília: ANA, 2019a. Available: http://snirh.gov.br/usos-da-agua/. Accessed on: July 10, 2021.
- ANA Agência Nacional das Águas. ODS 6 no Brasil: visão da ANA sobre os indicadores. Brasília: ANA, 2019b.
- BARBIERI, J.C. Desenvolvimento sustentável: das origens à Agenda 2030. Petrópolis: Vozes, 2020.
- CÂNDIDO, BARBOSA, A. P. A.; G. А. Sustentabilidade municipal e eólicos: empreendimentos uma análise comparativa de municípios com investimentos na geração de energia eólica no estado da Paraíba. Sociedade & Natureza, v. 30, n. 2, p. 68-95, 2018. https://doi.org/10.14393/SNv30n2-2018-4
- BELL, S.; MORSE, S. Sustainability indicators: Measuring the unmeasurable?
 2^a ed. London: Earthscan, 2008.
- BELL, S.; MORSE, S. Routledge Handbook of Sustainability Indicators. London e New York: Routledge, 2018. https://doi.org/10.4324/9781315561103.
- BELLEN, H.M. van. Indicadores de sustentabilidade: uma análise comparativa. 2^a ed. Rio de Janeiro: Editora FGV, 2006.
- BOULANGER, P.M. Sustainable development indicators: a scientific challenge, a democratic issue. Surveys and Perspectives Integrating Environment and Society, v. 1, n. 1, p. 59–73, 2008. https://doi.org/10.5194/sapiens-1-59-2008
- BOULANGER, P.M. A systems-theoretical perspective on sustainable development and indicators. In: BELL, S.; MORSE, S. (ed.) **Routledge Handbook of Sustainability Indicators.** London e New York: Routledge, 2018. p. 124-141. https://doi.org/10.4324/9781315561103-8.
- BRANCHI, B. A.; FERREIRA, D. H. L. A contribuição do Modelo FPEIR nos estudos das bacias hidrográficas brasileiras. **Periódicos Eletrônicos do Fórum da Alta Paulista**, v. 16, n. 4, p. 76-86, 2020. https://doi.org/10.17271/198008271642020244 2
- CARVALHO, P.G.M; BARCELLOS, F.C. Mensurando a sustentabilidade. Em MAY, P. H. (org.) Economia do Meio Ambiente: Teoria e Prática. 2^a ed. Rio de Janeiro: Elsevier, 2010. p. 99-126.

CATANO, N.; MARCHAND, M.; STALEY, S.; WANG, Y. Development and Validation of the Watershed Sustainability Index (WSI) for the Watershed of the Reventazón River. 2009. Available: https://web.wpi.edu/Pubs/E-

project/Available/E-project-121609-

171302/unrestricted/UNESCO-

COMCURE.pdf. Accessed on: October 23, 2020.

- CETESB Companhia Ambiental do Estado de São Paulo. Relatório de Qualidade das Águas Interiores do Estado de São Paulo. 2021. Available: https://cetesb.sp.gov.br/aguasinteriores/publicacoes-e-relatorios/. Accessed on: July 10, 2021.
- CHANDNIHA, S. K.; KANSAL, L.; ANVESH, G. Watershed Sustainability Index Assessment of a Watershed in Chhattisgarh, India. **Current World Environment**, v. 9, n. 2, p. 403–411, 2014. https://doi.org/10.12944/CWE.9.2.22
- CHAVES, H. M. L.; ALIPAZ, S. An integrated indicator based on basin hydrology, environment, life, and policy: The watershed sustainability index. Water Resources Management, v. 21, n. 5, p. 883–895, May 2007. https://doi.org/10.1007/s11269-006-9107-2
- CONSÓRCIO PROFILL-RHAMA Profill Engenharia e Ambiente S.A. e Rhama Consultoria, Pesquisa e Treinamento. **Relatório Síntese** - Plano de Recursos Hídricos das Bacias Hidrográficas dos Rios Piracicaba, Capivari e Jundiaí, 2020 a 2035. Piracicaba, 2020.
- CORTÉS, A.E.; OYARZÚN, R.; KRETSCHMER, N.; CHAVES, H.; SOTO, G.; SOTO, M.; AMÉZAGA, J.; OYARZÚN, J.; RÖTTING, T.; SEÑORET, M.; MATURANA, H. Application of the Watershed Sustainability Index to the Elqui River Basin. **Obras y Proyectos**, v. 12, p. 57–69, 2012. https://doi.org/10.4067/S0718-28132012000200005
- COSTA e SILVA, D.D.; CHAVES, H. M. L.; CURI, W.F.; BARACUHY, J. G.V.; CUNHA, T. P. S. Application of the watershed sustainability index in the Piranhas-Açu watershed. **Water Policy**, n.22, p. 622-640, 2020. https://doi.org/10.2166/wp.2020.011
- EEA European Environment Agency.
 Environmental indicators: Typology and overview. Technical report n. 25, 1999.
- FJP Fundação João Pinheiro. Estatística e Informações. 2021a. Available: http://fjp.mg.gov.br/estatistica-e-informacoes/. Accessed on: July 15, 2021.
- FJP Fundação João Pinheiro. Índice Mineiro de Responsabilidade Social. 2021b. Available:

http://imrs.fjp.mg.gov.br/. Accessed on: July 15, 2021.

- GUIMARÃES, R. P.; FEICHAS, S. A. Q. Desafios na Construção de Indicadores de Sustentabilidade. **Ambiente & Sociedade**, v. XII, n. 2, p. 307–323, Jul.-Dec. 2009. https://doi.org/10.1590/S1414-753X2009000200007
- HARDI, P.; ZDAN, T. Assessing sustainable development: Principles in Practice.
 Winnipeg, Manitoba, Canada: International Institute for Sustainable Development, 1997.
- HOEKSTRA, A.Y.; CHAPAGAIN, A. K. Water footprints of nations: Water use by people as a function of their consumption pattern. **Water Resources Management.** V. 21, n.1, p. 35-48, 2007. https://doi.org/10.1007/s11269-006-9039-x
- IBGE Instituto Brasileiro de Geografia e Estatística. SIDRA Sistema IBGE de Recuperação Automática. 2021. Available https://sidra.ibge.gov.br/. Accessed on July 15, 2021.
- JANNUZZI, P. de M. (2017). Indicadores sociais no Brasil. 6 ed. revisada. Campinas: Alínea.
- JUWANA, I.; MUTTIL, N.; PERERA, B. J. C. Indicator-based water sustainability assessment — A review. Science of the Total Environment, n. 438, p. 357-371, 2012. https://doi.org/10.1016/j.scitotenv.2012.08.093
- LACERDA, C.S.; CÂNDIDO, G.A. Modelos de indicadores de sustentabilidade para gestão de recursos hídricos. In: LIRA, W.S.; CÂNDIDO, G.A. (orgs.) Gestão sustentável dos abordagem recursos naturais: uma Campinas Grande: participativa [online]. EDUEPB, 2013.

https://doi.org/10.7476/9788578792824.0001.

- MALHEIROS, T.F.; COUTINHO, S.M.V.; PHILIPPI Jr, A. Desafios do uso de indicadores na avaliação da sustentabilidade. In: PHILIPPI Jr., A.; MALHEIROS, T.F. (ed.) Indicadores de sustentabilidade e gestão ambiental. Barueri, SP: Manole, 2012a. p. 1-29.
- MALHEIROS, T.F.; COUTINHO, S.M.V.; PHILIPPI Jr, A. Indicadores de sustentabilidade: uma abordagem conceitual. In: PHILIPPI Jr., A.; MALHEIROS, T.F. (ed.) Indicadores de sustentabilidade e gestão ambiental. Barueri, SP: Manole, 2012b. p. 31-76.
- MALHEIROS, T.F.; COUTINHO, S.M.V.; PHILIPPI Jr, A. Construção de indicadores de sustentabilidade. In: PHILIPPI Jr., A.; MALHEIROS, T.F. (ed.) Indicadores de sustentabilidade e gestão ambiental. Barueri, SP: Manole, 2012c. p. 77-87.

- MAYNARD, I. F. N.; CRUZ, M. A. S.; GOMES, J. Aplicação de um índice L. de sustentabilidade na bacia hidrográfica do rio Sergipe. Japaratuba em Ambiente & Sociedade, 2, 2017. v. 20,n. https://doi.org/10.1590/1809-4422asoc0057r1v2022017
- MEADOWS, D. Indicators and Information Systems for Sustainable: A Report to the Balaton Group. The Sustainable Institute, 1998. Available: https://www.donellameadows.org/wp-

content/userfiles/IndicatorsInformation.pdf. Accessed on: June 15, 2021.

- NARDO, M.; SAISANA, M.; SALTELLI, A.; TARANTOLA, S.; HOFFMAN, A.; GIOVANNINI, E. **Handbook on constructing composite indicators**: methodology and user guide. Ispra, Italy: OECD Statistics Working Paper; 2005.
- OECD Organization for Economic Cooperation and Development. **OECD environmental indicators: development, measurement, and use**. Reference Paper. Paris, 2003. Available:

<http://www.oecd.org/environment/indicatorsmodelling-outlooks/24993546.pdf>. Accessed on: November 13, 2020.

- PINTÉR, L.; HARDI, P.; MARTINUZZI, A.; HALL, J. Bellagio STAMP: Principles for sustainability assessment and measurement. **Ecological Indicators**, v. 17, p. 20–28, 2011. https://doi.org/10.1016/j.ecolind.2011.07.001
- PNUMA Programa das Nações Unidas para o Meio Ambiente. **Metodologia para a elaboração de Relatórios GEO Cidades**. Manual de Aplicação. Versão 2. Programa das Nações Unidas para o Meio Ambiente Escritório Regional para a América Latina e o Caribe. Rio de Janeiro: PNUMA, 2004.
- QUIROGA, R. M. Estadísticas del medio ambiente en América Latina y el Caribe: avances y perspectivas. **CEPAL SERIE Manuales**. Santiago de Chile, 2005.
- RAPPORT, D.; FRIEND, A. Towards a Comprehensive Framework for Environmental Statistics: A stressresponse approach. Vol. 11, edição 510 de Catalogue (Statistics Canada). Ottawa: Minister of Supply and Services Canada, 1979.
- ROTTENBURG, R.; MERRY, S.E. A world of indicators: the making of governmental knowledge through quantification. Cap. 1. In: ROTTENBURG, R.; MERRY, S.E.; PARK, S.J.; MUGLER, J. (Ed.) The World of Indicators: The Making of Governmental Knowledge through Quantification. Cambridge University Press, 2015. https://doi.org/10.1017/CBO9781316091265.

- SEADE Fundação Sistema Estadual de Análise de Dados. SEADE População. 2021a. Available: https://populacao.seade.gov.br/. Accessed on: July 15, 2021.
- SEADE Fundação Sistema Estadual de Análise de Dados. Índice Paulista de Responsabilidade Social. 2021b. Available: https://iprs.seade.gov.br/. Accessed on: July 15, 2021.
- SILVA, J.; FERNANDES, V.; LIMONT, M.; DZIEDZIC, M.; ANDREOLI, C. V.; RAUEN, W. B. Water sustainability assessment from the perspective of sustainable development capitals: Conceptual model and index based on literature review. **Journal of Environmental Management**, n. 254, 109750, 2020. http://doi.org/10.1016/j.journan.2010.100750.
- http://doi.org/10.1016/j.jenvman.2019.109750
- SULLIVAN, C. Calculating a water poverty index. World Development, v. 30, n.7, p. 1195–1210, 2002. https://doi.org/10.1016/S0305-750X(02)00035-9
- UN United Nations. Indicators of Sustainable Development: Guidelines and Methodologies. 3 ed., New York: United Nations, 2007. Available: https://www.un.org/esa/sustdev/natlinfo/indic ators/guidelines.pdf. Accessed on: February 28, 2021.
- UN United Nations. Transforming our world: The 2030 Agenda for Sustainable Development. New York: United Nations, 2015. Available: https://sdgs.un.org/sites/default/files/publicati ons/21252030%20Agenda%20for%20Sustaina ble%20Development%20web.pdf. Accessed on: Abril 15, 2020.
- UNCED United Nations Conference on Environment and Development. **Agenda 21.** Rio de Janeiro 3-14 junho de 1992. Available: https://sustainabledevelopment.un.org/conten

t/documents/Agenda21.pdf. Accessed on: July 15, 2021.

- UNESCO - United Nations Educational, Scientific and Cultural Organization. The Design and implementation strategy of the HELP initiative. Technical documents in hydrology. Vol. 44. 2001. Available: https://unesdoc.unesco.org/ark:/48223/pf00001 22948?posInSet=9&queryId=c2c0c4d3-5017-4465-99c3-29d6f30d6e67. Accessed on: November 13, 2020.
- UNESCO United Nations Educational, Scientific and Cultural Organization. 2 PHI-VII / Documento Técnico N° Oficina Regional de Ciencia para América Latina y el Caribe. [S.l: s.n.], 2008. Available: http://www.unesco.org.uy/phi. Accessed on: November 15, 2020.
- VEIGA, J. E. da. Indicadores de sustentabilidade. Estudos Avançados, v. 24,
 n. 68, p. 39–52, 2010. https://doi.org/10.1590/S0103-40142010000100006
- VOLLMER, D.; REGAN, H. M.; ANDELMAN, S. J. Assessing the sustainability of freshwater systems: A critical review of composite indicators. **Ambio**, v. 45, n. 7, p. 765–780, 1 nov. 2016. https://doi.org/10.1007/s13280-016-0792-7
- WITULSKI, N.; DIAS, J. G. The Sustainable Society Index: Its reliability and validity. Ecological Indicators, n. 114, 106190, 2020. https://doi.org/10.1016/j.ecolind.2020.106190

AUTHORS' CONTRIBUTION

Bruna Angela Branchi conceived the study, collected, analyzed the data and wrote the text.



This is an Open Access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited