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### ORIGINAL ARTICLE

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## Epidemiological aspects of American cutaneous leishmaniasis (ACL) in an endemic area of forest extractivist culture in western Brazilian Amazonia

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#### **ABSTRACT**

The study sought to analyze clinical and epidemiological aspects of cutaneous leishmaniasis (CL) in Xapuri, Acre, Brazil. Data from 2008 to 2014 registered in the notification records of the disease of the Information System of the Complaints of Notification (SINAN), and the data of the Information Department of the Unified Health System (DATASUS) available from 2007 to 2013 were used and analyzed in the light of the statistics of the temporal series by the Prais-Winsten method and chi-squared test. A total of 906 cases were registered with 60.2% occurring in men and 39.7% in women. The groups from 0 to 4 years of age (48.0%) and from 5 to 19 years of age (23.3%) were the most affected. Regarding the clinical forms, 77.7% presented CL and 22.3% mucocutaneous leishmaniasis (MCL). Among the 896 cases with information on the diagnostic methods used, Montenegro's skin test predominated (66.4%), with a positive result of 95.8% for CL and 99.3% for MCL. Treatment with N-methylglucamine antimony was performed in 99.4% of the cases, but discontinuously used in the majority of patients. This study presents information which may be used as a tool for the epidemiological surveillance and control of the disease in Xapuri, a region which depends essentially on forest resources and ecological tourism.

KEYWORDS: Cutaneous leishmaniasis. Rubber extractors. Epidemiology. Amazonia.

#### INTRODUCTION

American cutaneous leishmaniasis (ACL) is a metaxenic disease of importance in public health. It is caused by protozoa of the *Leishmania* genus, transmitted to human-beings by the bite of infected phlebotomine females<sup>1,2</sup>. It is the commonest form of the disease and is manifested mainly by localized ulcerous lesions in the skin and may leave serious scars as sequelae. Furthermore, other clinical manifestations of disease may affect humans, depending on the etiological agent or host immune response, which may still develop forms: disseminated, characterized by lesions pleomorphic, acneiform and papular; Diffuse, also called anergic form, has nodular lesions without forming ulcerations, and the mucocutaneous form resulting in severe deformities of the nasal septum and mouth<sup>2</sup>. It is estimated that 0.7 to 1.2 million new cases occur annually in the world<sup>3</sup>.

According to the Pan American Health Organization (PAHO) report, in the Americas, it was reported 51,098 cases of cutaneous leishmaniasis and mucosal

form with a incidence rate of 19.76 cases per 100,000 inhabitants, that Brazil holder of 75% of all cases, followed by Colombia and Peru. Regarding the epidemiology of clinical forms in 2014, approximately 96% of the cases correspond to cutaneous and 4% for the mucocutaneous; these latter being more prevalent in Brazil (1,016 reported cases), Peru (343) and Bolivia (228)<sup>4</sup>.

In recent years, despite the advances made in the surveillance and control of ACL, the incidence of the disease has continued high in several Brazilian states. In the period from 2001 to 2013, Acre attained the highest incidence of all the Brazilian states (12.8 cases/10,000 inhab.), almost a third greater than the incidence found in the state with the second rank, Mato Grosso (9.0 cases/10,000 inhab.)<sup>2</sup>. The municipality of Xapuri has stood out not only by virtue of the number of cases, but also due to the high incidence of the disease: between 2007 and 2013, the municipality presented an incidence of 81.9 cases/10,000 inhab., amounting to 14% of all the cases in the state<sup>5</sup>.

Although it is recognized that the incidence of ACL in Xapuri is one of the highest in the state of Acre, little is known about the profile of the human population affected by the disease in the municipality. The objective of this study was to analyze the occurrence of ACL in the municipality of Xapuri in the period from 2008 to 2014 by clinical and epidemiological aspects.

#### POPULATION AND METHODS

#### Study site and study design

The municipality of Xapuri (Lat.: 10° 39' 06" S; Long.: 68° 30' 16" W), constituted in 1904, is located in the interior of the state of Acre, in the meso-region of the Vale do Acre, in the micro-region of *Brasiléia*. To the north it borders the capital Rio Branco, to the west, Sena Madureira, to the southwest, *Brasiléia*, to the south, *Epitaciolândia* and to the east, Capixaba and the international frontier with Bolivia (Figure 1). The native vegetation is both open and dense shady forest, the climate is equatorial, humid and hot, the average annual temperatures vary between 23.2°C and 25.7°C, and the average annual rainfall is of 1,373 mm<sup>6</sup> (Figure 1).

In the 70s, the municipality became internationally famous by virtue of the environmental movement led by the rubber extractor and activist, Chico Mendes. Forty percent of the Chico Mendes Extractivist Reserve, a conservation unit created in 1990 and under the control of the federal government, is situated within the municipality. Outstanding in the local economy are products extracted from the natural vegetation, the main ones being rubber and Brazil chestnuts. The municipality covers an area of 5,347 km² and has a population of 16,091 inhabitants, 36% of whom reside in

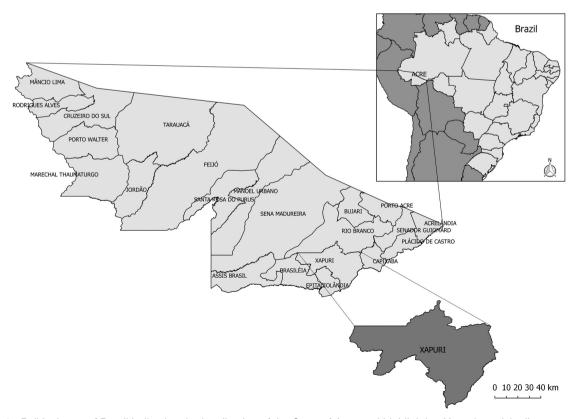


Figure 1 - Political map of Brazil indicating the localization of the State of Acre and highlighting Xapuri municipality.

the rural zone. The Municipal Human Development Index (IDH) for Xapuri in 2010 was of 0.599, a level considered low by the Program for Development of the United Nations (PNUD). Xapuri is visited, at the present time, by tourists from various parts of the world, attracted by the incentives to ecotourism<sup>6</sup>.

The population of this study consisted of the autochthonous cases of ACL resident in Xapuri in the period from 2008 a 2014. The data were extracted from the notification forms of the ACL cases of the Ministry of Health obtained through the Epidemiological Information and Surveillance service of Xapuri (Vigilância e Informação Epidemiológica de Xapuri) and the Information System of Notifiable Complaints (Sistema de Informação dos Agravos de Notificação – SINAN). The source of the information on the number of inhabitants by sex, age group and residential condiction was the Brazilian Institute of Geography and Statistics (Instituto Brasileiro de Geografia e Estatística)<sup>7</sup>. Visits were made to the municipality to obtain the data and photographic records and to observe the housing situation.

#### Data analysis

The rates of incidence for the study period were estimated for the municipality of Xapuri, the State of Acre, the Amazon Region, the Extra-Amazonian Region and Brazil, for the period from 2007 to 2013, according to the availability of the information from DATASUS<sup>5</sup>. For this, the number of cases was multiplied by ten thousand and then divided by the population in the middle of the period taking the number of years into consideration. This procedure was also used with regard to the variables sex, age and residential condition and the result presented in the form of a bar graph.

The statistical package STATA 13<sup>8</sup> analyzed the statistics of the time series from 2007 to 2013 by the method of analytical self-regression known as Prais-Winsten. This technique corrects the temporal self-correlation of the first order of the residues and classifies the rates of incidence logarithamized as increasing, stationary or diminishing, giving the annual rate of increase as a percentage with a statistical significance level of the order of 95%.

Further, the relative frequencies of the following variables were analyzed by means of the same program: sex, age, schooling, place of residence, type of entry in the registration system, clinical form, method of diagnosis, dose prescribed and treatment. The period investigated for these variables covered the years from 2008 to 2014, in accordance with the data of the Epidemiological Information and Surveillance service of Xapuri (Serviço de Vigilância e Informação Epidemiológica de Xapuri).

The data were submitted to descriptive statistical analysis using Pearson's Chi-squared test for the comparison of the two or more proportions at a confidence level of 95%. The confidence interval for relative risks was calculated in accordance with Rothman *et al.*<sup>9</sup>.

#### **RESULTS**

The incidence rates of ACL in Xapuri remained high from 2007 to 2013 (Figure 2). According to DATASUS<sup>5</sup>, the relative risk of the municipality was 4.6 times higher than that of the State and 13.5 times higher than that of the Amazon Region as a whole. When compared to Brazil and the Extra-Amazonian Region this risk rises to 77.5 and 187.6 times, respectively.

The analysis of the historical series of the incidence of ACL (Figure 2) enables one to see that Xapuri presented a slightly diminishing tendency in the period of -0.7% (confidence interval of between -1.1% and -0.3%), as also did the State of Acre (-0.6% with a confidence interval between -1.0% and -0.1%). The rates of the other localities presented in Figure 2 remained stable showing that no statistically significant annual variation occurred in the period under analysis.

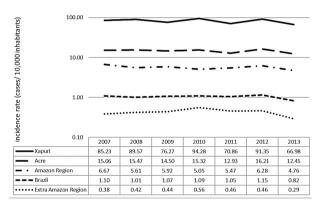


Figure 2 - Rates of ACL incidence on a logarithmic scale in the municipality of Xapuri, Acre State, Amazon Region and Extra-Amazonian Region and in Brazil, from 2007 to 2013. Source: Datasus<sup>4</sup>.

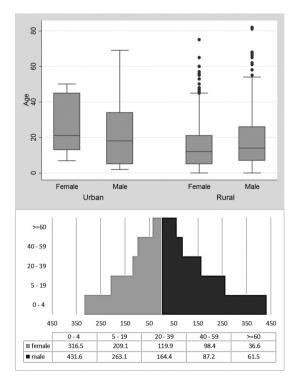
During the period from 2008 to 2014, of the 932 notified cases of ACL in Xapuri, 906 were registered as autochthonous and resident in the municipality. According to the data on residence it was observed that the majority of cases were distributed on the rural properties (98.0%) known as allotments, these areas belonging to rubber plantations located within the forest. In these localities, the typical situation of the dwellings was either surrounded by or close to the forest (Figure 3).

The distribution of the disease by zone, age and sex shows that young people of both sexes who live in the



**Figure 3** - Photographs of the municipality of Xapuri. A and B) dwellings in the rural zone; C and D) urban zone. Photo: Teixeira, 2016.

rural zone are more affected than those who live in the urban zone. The range of age groups is also greater in the rural zone. The incidence rates of the disease in the rural zone decrease as age increases for both sexes. The risk of the disease for males is greater, with statistical significance, in all age groups, excepting that of 40-59 years old, however, without statistical significance at a level of 95.0% (Figure 4; Table 1). The risk of the disease was also statistically significantly (95%) greater in the child +



**Figure 4** - Upper figure: a boxplot graph of age by sex and home situation of those suffering from ACL in Xapuri, Acre, 2008 to 2014; Lower figure: bar graph of the rates of incidence of ACL by sex and age group of residents in the rural zone of Xapuri, Acre state, 2008 to 2014.

**Table 1** - Cutaneous leishmaniasis in the rural population of Xapuri. Relative risk (RR) and confidence interval (CI) for male:female ratio, by age group.

Age group (years )	RR	RR CI	
0-4	1.36	1.18 – 1.57	
5-19	1.26	1.05 – 1.51	
20-39	1.37	1.08 – 1.73	
40-59	0.89	0.67 – 1.19	
≥60	1.68	1.11 – 2.52	

adolescent population than in that of adults ( $\geq$  20 years old) for females (RR = 2.36; CI: 1.90 – 2.93) and males (RR = 2.13. CI: 1.76 – 2.58). The cutaneous clinical form is more prevalent in children and adolescents, while the mucosal forms prevail in adolescents and adults (Figure 5).

As regards schooling, 29.0% of the individuals affected were below school age and 61.2% had not completed their basic schooling.

Of the 906 autochthonous cases of ACL 704 (77.7%) presented the cutaneous form and 202 (22.3%) the mucous form. Among the mucocutaneous cases, 159 contained information about previous lesions or scars of ACL, which 78.8% had previous lesions or scars and 21.2% did not have any these skin characteristics. As to the type of entry in the data systems, the new cases predominated absolutely regarding recurrences for both cutaneous (96.4% and 3.4%) and mucous clinical forms (96.5% and 3.5%). The use of laboratory criteria to confirm both cutaneous (85.6%) and mucous (81.2%) cases predominated in relation to the clinical-epidemiological one (14.4% and 18.8%, respectively) (Table 2).

Among the cases with information on the diagnostic method used (896), Montenegro's skin test was applied to 595 patients (66.4%), the direct parasitological method was performed in 247 (27.6%) and the histopathological in 54 (6.0%). Montenegro's skin test was positive in more than 95.0% of both cutaneous and mucous cases. The direct parasitological exam resulted positive in 97.0% of cutaneous and 66.7% of mucous forms. In the histopathological test the parasite was found in 73.2% of cutaneous cases, in 24.4% the results were negative and 2.4% showed compatible results. For mucous cases the values of these three categories were very similar (Table 2).

Among the ACL cases with treatment information (873), for 99.4% of them, the drug of first choice was the N-methylglucamine antimony. However, 8.6% of the patients (6.9% with CL and 1.7% with MCL) were treated with amphotericin B because the first medication failed.

As regards the prescribed dose of the antimony, 80.2%

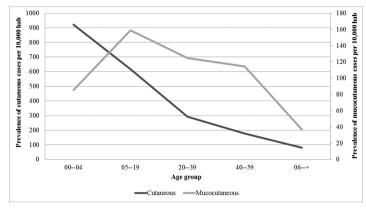


Figure 5 - Prevalence of cases of cutaneous e mucocutaneous leishmaniasis by age group, Xapuri municipality, Acre state, 2008 to 2014.

**Table 2** - Comparison of the cutaneous and mucous clinical forms of ACL according to data of the autochthonous cases notified by SINAN in residents in the municipality of Xapuri, Acre State, 2008 to 2014.

	Cuta	Cutaneous		Mucous	
	n	%	n	%	_ <b>p*</b>
Demographics					
Sex					0.236
Male	417	59.2	129	63.9	
Female	287	40.8	73	36.1	
Age group					
0 – 4 years	151	21.5	14	6.9	0.000
5 – 19 years	346	49.2	89	44.1	
20 - 39 years	148	21.0	63	31.2	
40 – 59 years	48	6.8	31	15.3	
60 years and above	11	1.5	5	2.5	
Zone					
Urban	12	1.7	3	1.5	0.835
Rural	674	98.3	193	98.5	
Entry in the data system					
New case	676	96.4	194	96.5	0.955
Recidivans	25	3.6	7	3.5	
Conformation criterion					
Laboratory	603	85.6	164	81.2	0.122
Clinical-Epidemiological	101	14.4	38	18.8	
Diagnostic Methods					
Montenegro's skin test					
Positive	417	95.9	159	99.4	0.031
Negative	18	4.1	1	0.6	
Direct Parasitological					
Positive	228	97.0	8	66.7	0.000
Negative	7	3.0	4	33.3	
Histopathological					
Parasite found	30	73.2	4	30.7	0.001
Compatible	1	2.4	5	38.6	
Negative	10	24.4	4	30.7	
Pentavalent Antimony dosing					
Adequate	553	80.5	120	64.5	0.000
Below	111	16.1	66	35.5	
Above	23	3.4	0	-	

<sup>\*</sup> Pearson's Chi-squared test

of the patients with CL were treated with a dose considered adequate by the Ministry of Health (10 to 20mg/kg/day), as well as 64.5% of the patients with MCL (20mg/kg/day). However, 20.2% of the patients including those with the cutaneous and the mucous forms were treated with doses below the recommended ones, and 3.8% of the patients with CL were treated with excessive doses. None of the patients who presented MCL received an excessive dose (Table 2). The duration of treatment varied from 1 to 511 days, though less than 3% of the cases were precisely followed up as recommended by the Ministry of Health.

As for the progress of the cases, 99.2% were recorded as cured, 0.4% gave up the treatment and 0.3% died from other causes. However, the data reported as cure may contain errors in completing this item in the notification forms, because there are a high number of patients who abandon treatment. There was no notification of death attributed to ACL in the period analyzed.

#### DISCUSSION

The Brazilian Amazonian Region has contributed significantly to the maintenance of the high rates of the incidence of ACL in Brazil<sup>2</sup>. The State of Acre has stood out in recent years within this scenario, especially by reason of such municipalities of the micro-region of *Brasiléia* as Xapuri<sup>10,11</sup> and Assis Brasil<sup>12</sup>, indicated as one of the main foci of the transmission of the disease.

The study of the epidemiology of ACL in Xapuri has shown that the disease is not distributed homogeneously in the municipality, revealing the existence of various patterns of transmission. The areas of the greatest occurrence are the most isolated ones where the rural workers who survive by the extraction of natural forest products live. In these regions, transmission seems to occur either in intra or peridomiciliary environments given the high incidence, mainly among children and adolescents. In these cases, probably because most of the houses are in the forest areas, where the phlebotomine vectors are attracted to the light and the humans, favoring the intra and peridomiciliary transmission cycle. Parallel to this study, entomological collections were carried out in forested and peri/intradomiciliar environments in ACL foci of the municipality, where the presence of anthropophilic and incriminated species as vectors of Leishmania spp. has been found (Data to be published).

In the age group  $\geq$  20 years old the incidence of cases is significantly lower than in that of children together with adolescents. This fact is probably to be explained by the more frequent exposure over the years to the saliva injected by constant sand fly bites which may provide some protective

effect in relation to the clinical leishmaniasis<sup>13,14</sup>. A similar pattern of transmission to this has already been found in Brazilian states such as Bahia and *Espírito Santo*<sup>15,16</sup>. The higher incidence among males can be attributed to occupational activities and also in obtaining sustenance such as fishing and hunting. However, this explanation does not apply to the group of 0 - 4 years of age. Thus other determining factors should be investigated to explain this age pattern.

Rural properties classified as ranches and small-holdings present a smaller number of cases than the so-called allotments belonging to rubber extractors, due to the construction of their dwellings far from the forests and the conversion of the forests into pasture, which hinders the action of the vectors of the disease whose range is limited. It is further possible that the smaller number of cases in these places is also related to the fact that agricultural and cattle-raising activities employ a smaller number of hands per hectare than does forest extractivist activity. Further, study is therefore necessary to determine the pattern of transmission on rural properties which adopt agricultural and cattle-raising activities.

In the urban zone, in view of the low endemicity and the average age observed, it is believed that the transmission is occurring when people move into the forest for reasons such as visits to relatives who live in areas of rubber extraction, for activities related to work or even for activities such as hunting and fishing.

The low schooling level of those who suffer from ACL in the municipality is an expression of their limited socioeconomic and cultural condition. According to local visits was observed the lack of resources and of information may be reflected in the way the population seek to avoid the disease (using the traditional medicine) and in the search for the diagnosis and treatment of the zoonosis.

It is noted in this study that the demographic characteristics of ACL cases in Xapuri are similar to those prevalent in other highly endemic areas in the State of Acre as also in other localities in the northern region of the country, with predominance of the clinical cutaneous form<sup>17</sup>.

As regards diagnosis, Montenegro's skin test is the method most frequently employed in the municipality as well as in several other localities<sup>12,16,17,18,19</sup>. It is considered to be a sensitive test, even giving a positive reaction after the cure of the patient or after treatment. Despite the efficacy of this method and its frequent use at the present time in Brazil for the diagnosis of ACL<sup>2</sup>, the fact that crossed reactions with other diseases may occur, in the same areas as paracoccidioidomycosis, sporotrichosis, tuberculosis and chromomycosis, among others<sup>2,20</sup> it would be prudent associate it with more specific methods for a correct diagnosis. Furthermore, it should be taken

into consideration that Montenegro's skin test does not distinguish past or present infection, raising questions about its effectiveness in endemic areas of disease<sup>21</sup>, because there are reports of patients living in endemic areas who are noninfected and with no clinical manifestations in the past but are positive in the test<sup>22</sup>.

In Assis Brasil (AC), the preference for the use of Montenegro's skin test over the direct parasitological exam was reported, perhaps because of the lack of trained technicians<sup>12</sup>, this same situation may be occurring in Xapuri. Further, in Assis Brasil, the high frequency of the use of the histopathological method attracted the attention of the authors, as it is a costly technique not available through the public health network in this municipality, In Xapuri this technique was few used.

The large number of confirmed cases based on clinical-epidemiological criteria is noteworthy as it underlines the need for the training of technicians for the undertaking of the direct parasitological exam, which permits the definitive diagnosis, as well as being easy to perform and of low cost. Guthmann *et al.*<sup>23</sup>, in a study undertaken in Peruvian Amazonia, obtained a significant improvement in diagnosis and in the monitoring of the patients after the training of the health personnel. This kind of training can also help in the correct filling-up of the report forms and correct some of the deficiencies found in the epidemiological surveillance system in Xapuri.

Some studies present molecular biology as a diagnostic tool for ACL with high sensitivity, generally giving positive findings in 100% of the patients analyzed as well as permitting the specific diagnosis of *Leishmania* <sup>19,24,25,26,27</sup>. However, it is, though, expensive and unavailable under the public health network of the State. It should be emphasized, however, that in Acre there have already been registered human cases of ACL attributed to five species of *Leishmania*: *L.* (*Viannia*) *braziliensis*, *L.* (*V.*) *shawi*, *L.* (*V.*) *lainsoni*, *L.* (*V.*) *guyanensis* and *L.* (*L.*) *amazonensis* <sup>19,24,26</sup> which indicate the importance of specific diagnosis, seeing that infections by these species can develop into different clinical forms with diverse prognoses and monitoring needs.

In Xapuri, the majority of the doses of antimoniate prescribed were considered ideal, but there have been cases of sub-dosing, which may indicate either a lack of the medicine or abandonment of the treatment. This latter fact occurs frequently in the State as well as in rural areas of Amazonia, where populations acquiesce in the lack of treatment because of the difficulty of their travelling to the health center<sup>11,28</sup> particularly in rainy periods seeing that the access roads then become impassable and thus isolate them from the health centers.

It is emphasized in this study that the duration of treatment

was discontinuous in almost all of the cases notified, this leading possibly to therapeutic failures, the appearance of cases of relapse, incurable clinical forms and resistance to pharmaceutical products, despite their case-notes' giving the information that the majority of cases led to cure, these may not be trustworthy, because the majority of the patients abandoned their treatment during the period of this study.

Although the cutaneous clinical form is the most prevalent in Acre and also in the municipality of Xapuri, Natal and Silva<sup>11</sup> had already observed that in some regions of the state the mucocutaneous form was expanding. In fact, our finding 8 years after the period they studied corroborates the observations of these authors, suggesting further studies to clarify the reasons that are leading people to acquire this clinical form.

The occurrence of mucocutaneous form the most of these cases presenting previous lesions or scars of leishmaniasis, reflecst the lack of treatment or its abandonment. This has been happening because the treatment is outpatient and the population affected resides in rural zone and do not have financial resources to stay in the urban area during the treatment period, despite the efforts of local health services in keeping patients in the city. Thus, it is suggested follow-up studies of the ACL cases in the region with the aim to assess the adherence to the treatment.

Although the highly endemic character of ACL in Xapuri and in the Acre state having been known for many years, there still occur failures in the filling-in of the notification forms. Inconsistent data as to clinical and epidemiological conditions are limiting factors for this kind of study. Beyond that, one cannot overlook the possibility of under notification, because the majority of cases notified in Xapuri are of rural origin and from areas of difficult access, as has also been reported in other localities in Acre<sup>29</sup>. Despite these limitation, the existing information may provide the health and surveillance organs with data for the control, prevention and management of ACL in the municipality.

Although the disease affects the isolated population of the municipality, the public health authorities should be careful not to neglect the problem. It is necessary to undertake annual campaigns among the population informing them about the leishmaniases in the light of the need for early diagnosis and treatment and adequate follow-up of the cases, seeing that Xapuri is a region which depends essentially on forest resources and ecological tourism.

#### **ETHICAL CONSIDERATIONS**

This study was approved by the Research Ethics Committee of the Universidade Federal do Acre under Opinion number 062345/2013.

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#### **CONFLICT OF INTERESTS**

The authors declare no potential conflict of interest.

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