# The effectiveness of percutaneous injections of ozonotherapy in low back pain

Luciano Timbó Barbosa ¹
DCélio Fernando de Sousa Rodrigues ¹
Raul Ribeiro de Andrade ²
D Fabiano Timbó Barbosa ¹

1. Universidade Federal de Alagoas (Ufal), Maceió, AL, Brasil

2. Centro Universitário Cesmac (Cesmac), Maceió, AL, Brasil

http://dx.doi.org/10.1590/1806-9282.66.8.1146

#### **SUMMARY**

**INTRODUCTION**: Lumbar pain is one of the main reasons for medical consultation, causing the disruption of daily routines due to its disabling nature, thus resulting in social and personal damage. Among the complementary treatments, ozonotherapy offers analgesia to most patients, with reports of complications. However, great questions about its clinical effectiveness have not been answered yet, and there have been reports of serious complications.

**OBJECTIVE**: To describe the use of ozonotherapy in the treatment of lumbar pain, focusing on its favorable and unfavorable effects, and its analog profile.

**METHODS**: A cross-sectional bibliographic research was performed with scientific articles obtained from the Pubmed, LILACS and Scopus database, using the following descriptors: "Ozone", "Therapy", "Lumbar pain", "complication", "Disk herniation", "Guideline", "Protocol", "Standards", "Criteria".

**RESULTS**: The researched literature corroborates that, in clinical practice, there is safety in the use of oxygen-ozone therapy through percutaneous injections for the treatment of lumbar pain, especially when compared to surgeries and use of medicines, provided that strict criteria are followed.

**CONCLUSION**: The procedure is effective and has a favorable analgesic profile. However, it is necessary to produce a medical guideline that will help in its strict and systematic control.

KEYWORDS: Ozone/therapeutic use. Low back pain. Spinal cord compression. Intervertebral disc displacement.

#### **INTRODUCTION**

Low back pain is one of the main reasons for medical consultations, hindering the execution of daily activities, due to its incapacitating nature, thus resulting in social and personal expenditures and requiring preventive treatment<sup>1-3</sup>. Among complementary

treatments, ozonotherapy has gained greater prominence after its use was authorized in the entire national territory of Brasil by the Senate Bill no. 9,001/2017<sup>4</sup>. In addition to being little invasive, it is a method that provides analgesia for most patients,

DATE OF SUBMISSION: 15-Mar-2020

DATE OF ACCEPTANCE: 21-Apr-2020

CORRESPONDING AUTHOR: Luciano Barbosa

Universidade Federal de Alagoas (Ufal) – Campus A. C. Simões. Av. Lourival Melo Mota, S/N,

Tabuleiro do Martins, Maceió - AL, Cep: 57072-970

E-mail: nluciano.timbo@gmail.com

with minimal reports of complications<sup>2,3,5</sup>. However, important questions regarding its clinical effectiveness remain answered, in addition to some reports of serious complications<sup>6</sup>, which have given cause for a letter by the Federal Council of Medicine (CFM), on 14 December 2017, condemning the bill, alleging lack of technical and scientific evidence of the method's efficacy and safety7. However, advanced studies on the treatment of different musculoskeletal disorders, such as rheumatoid arthritis, lumbar facet syndrome, joint syndrome, subacromial bursitis, carpal tunnel syndrome, osteoarthritis, hip bursitis, adhesive capsulitis of the shoulder, temporomandibular disorder, and mainly on the treatment of diseases of the spinal column, make ozonotherapy an option of effective treatment8-10.

It is essential to know that around 70-80% of the world population has low back pain. Among these, the most frequent of radicular compressions is the disc disease, whose spontaneous resolution of pain in the acute phase (from 6 to 12 weeks after the onset of pain) has been documented in 60-80% of those affected by it, with the ability to resume work activities three months after the onset of symptoms<sup>1,5,11</sup>.

Cases that are not spontaneously resolved are managed by conservative treatments, with good outcomes in 90% of lumbar pain cases. The procedures are minimally invasive, such as corticosteroid, anti-inflammatory and anesthetic injections, chymopapain chemonucleolysis, nucleo-discectomy, intradiscal laser discectomy, intradiscal electrothermal therapy, percutaneous nucleoplasty, and chemodiscolysis using a mixture of oxygen and ozone  $(O_2O_3)$ , the first choice, after the failure of others. The technique is supported by its favorable effects<sup>4,12,13</sup>.

Surgery is performed in cases of intolerable symptoms, progressive neurological deficits, or risk of cauda equina syndrome<sup>14.15</sup>.

The whole of the conservative propaedeutics along with minimally invasive procedures conceptualizes complementary treatments for pain relief and accelerated healing<sup>3.5</sup>. The goal of this paper was to describe the use of ozonotherapy in the treatment of lumbar pain, focusing on its favorable e unfavorable effects and analgesic profile.

## **METHODS**

The bibliographic and transversal search for scientific papers was conducted in the PubMed (maintained

by the National Center for Biotechnology Information), Lilacs, and Scopus databases. We used the following keywords: "Ozone", "Therapy", "Lumbar Pain," "Complication", "Herniated Disc", "Guideline", "Protocol", "Patterns", "Criteria". The inclusion criteria were: scientific studies on humans, male or female, aged 18 years or more, diagnosed with lumbar pain. There were no restrictions regarding dates or languages to the publications included. Scientific studies related to the subject were selected after analysis of their titles and abstracts. Papers such as letters to the editor, communications, editorials, commentaries, and studies with partially published data were excluded.

### HISTORICAL PERSPECTIVE

Ozone (O<sub>3</sub>) has been studied for a very long time, its first observer was the philosopher and scientist Martin van Marum, who in 1783 felt a peculiar odor, which he subsequently named the "smell of electricity", near his electrostatic machine whenever it was working 16.17. Another researcher, Cruickshank, reported the same characteristic smell in 1801 16.17. However, the two only described the smell and did not do ahead with their studies 16.17. However, a chemistry professor at the University of Basel, Christian Friedrich Schönbein, identified, in 1839, the same smell in water electrolysis and, in 1840, declared it was a gas, which he called ozone 19. The molecular formula of ozone was determined in 1865 by Jacques-Louis Soret and confirmed in 1867 by Schönbein, who is considered its discoverer 16.17.

During the First World War, ozone was used for the first time, by Dr. Albert Wolf, as a groundbreaking therapy even in gangrenous injuries, paving the way for its use in medicine<sup>3,13,14,19,20</sup>.

Subsequently, an attempt to cease lumbar pain using ozonotherapy was made in 1989, by Verga, an orthopedic surgeon who applied gas injections into sensitive areas of the paravertebral muscle, treating approximately 8,000 patients aged over 15 years; the recurrence of pain was less than 2%<sup>18</sup>. Eight years later, Fabris et al.<sup>21</sup> published a study reporting a new type of percutaneous treatment for lumbar herniated disc based on intradiscal injections of oxygen-ozone <sup>20</sup>. The first suggestion of using tomographies to guide needle introduction was made in 1998, by Muto et al.<sup>22</sup>.

Several studies were published between 1989 and 2018 on the use of percutaneous injections of ozonotherapy for lumbar pain, and good efficacy and safety were observed, with an efficacy rate of 70-90%<sup>20,21</sup>.

# TYPES OF ADMINISTRATION, DOSES, AND CONCENTRATIONS

Ozone can be administered as a gas mixture of oxygen-ozone (medical-grade ozone), with a concentration between 10 and 40  $\mu$ g/mL<sup>23-26</sup>. However, a study on the therapy with low (10  $\mu$ g/mL), medium (40  $\mu$ g/mL), and high (60  $\mu$ g/mL) concentrations in patients with disc herniation showed a higher rate of decline in the group who received a volume of 40  $\mu$ g/ml<sup>25.26</sup>. Table 1 summarizes the studies considered for long-term results.

The richest concentrations, which do not exceed a total of 5% of the total in ozone, are used in disc herniations<sup>2,3,24</sup>, and the intramuscular, intradiscal, intraforaminal, and periradicular routes are very widespread in Europe<sup>2,24,27,30</sup>. Table 2 summarizes the application in various musculoskeletal diseases.

In disc diseases, the procedure is guided by computed tomography (CT) to avoid errors in the application and serious complications, and it must be accompanied by an anesthesiologist. However, intramuscular administration in the paravertebral lumbar muscles, bilaterally, with 10 to 20 ml on each side, using the lateral extraspinal approach, with asepsis prior to the procedure using 2% lidocaine and not

exceeding 15 seconds in the time for injection, does not require the use of radiology since it is a simple procedure<sup>23,29</sup>.

In all other routes, it is essential to be guided by CT, and they differ from the previously mentioned procedure since route used is towards the center of the disc by a posterior-lateral approach using a 10 ml oxygen-ozone mixture injection. Subsequently, the needle is redirected to the epidural space, where the tip of the needle should be in the middle point of the intervertebral foramen under lateral view and in the inner margin of the pedicle under anteroposterior view. Still using radiographic imaging, then another 10 ml are injected in the foramen space<sup>31</sup>.

# **MECHANISM OF ACTION**

Its use, in pain management, is based on the exploitation of the chemical properties of ozone (Figure 1) acquired from the unstable quality of oxygen, which allows the formation of one or more different simple substances and their direct (mechanical) and indirect (anti-inflammatory) effects<sup>1,23,29,31</sup>.

The first consists of the destruction of the disc

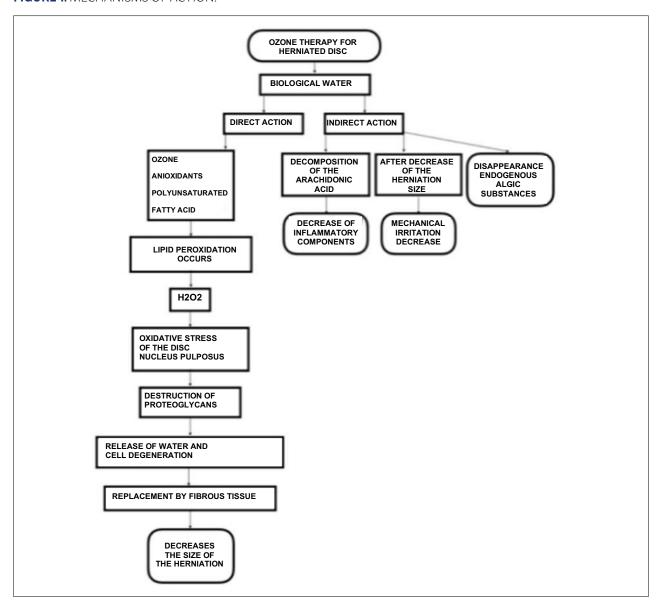
TABLE 1	PAPERS PUBI	LISHED REPORTI	NG LONG-TERM	1 RESULTS
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STUDY GROUP	YEAR OF PUBLICATION	PATIENTS ENROLLED	PROCEDURE	FOLLOW-UP TIME	CONCENTRATION USED IN THE STUDY
Niu et al <sup>25</sup>	2018	80	Paravertebral intramuscular	1 year	20 pg/ml in Group B, 40 pg/ ml in Group C, and 60 pg/ml in Group D
Hosseini et al <sup>26</sup>	2019	128	Paravertebral intramuscular	1 year	29 to 32 pg/ml
Biazzo et al <sup>23</sup>	2018	109	Paravertebral intramuscular	4 years	27 pg/ml
Bhatia et al <sup>27</sup>	2019	500	Percutaneous injection to the nucleus pulposus	1 years	30 pg/ml
Barbosa et al <sup>3</sup>	2017	19	Epiduroscopy	1 year	30 pg/ml
Bonetti et al <sup>28</sup>	2016	84	Intraforamen	13 years	25 pg/ml
Apuzzo et al 11	2014	923	Paravertebral intramuscular	12 years	20 pg/ml
Paoloni et al <sup>29</sup>	2009	327	Paravertebral intramuscular	2 years	20 pg/ml

TABLE 2. SUMMARY OF APPLICATIONS IN SEVERAL MUSCULOSKELETAL DISEASES.

MUSCULOSKELETAL DISEASES	ADMINISTRATION	DOSAGE	CONCENTRATION
Lower back pain	Paravertebral Intramuscular	10 to 20 ml on each side	40 pg/ml
Herniated disc	Intradiscal injection	10 ml	40 pg/ml
Shoulder (glenohumeral joint)	Injection with a posterior approach laterally under the lower margin	10 ml	15 pg/ml
Hip bursitis (inflammation)	Injection with a lateral approach with an infiltration method called peritrochanteric.	10a15ml	25 pg/ml
Osteoarthritis (OA) (knee)	Variable approach, predominantly external/internal lateral parapatellar	5 to 20 ml	15 to 40 pg/ml
Cervical herniated disc	Intradiscal injection	5 ml	20 pg/ml

FIGURE 1. MECHANISMS OF ACTION.



nucleus pulposus components, resulting in a release of water molecules and the subsequent cellular degeneration of the matrix, which is then replaced by fibrous tissue, leading to a reduced disc volume<sup>24,27,28,32</sup>.

The ozone reacts with the antioxidants and polyunsaturated fatty acids (PUFAs) present. Lipidic peroxidation leads to the simultaneous formation of reactive oxygen species (ROS) and lipid oxidative products (LOPs)<sup>25</sup>.

One of the ROS is hydrogen peroxide  $(H_2O_2)$ , a non-radical oxidant capable of acting as an ozone messenger, responsible for causing several biological and therapeutic effects<sup>25</sup>.

The production of LOP follows the peroxidation of polyunsaturated fatty acids (PUFAs). They are inherently toxic and should be generated at very low concentrations<sup>28</sup>.

The ozone reacts as soon as it is dissolved in biological water (the nucleus pulposus is located in the central disc has a gelatinous water content of approximately 75% to 80%), causing an oxidant action and decomposing some of the glycosaminoglycans chains in the nucleus, reducing its ability to retain water, decreasing the size of the herniation and, subsequently, contributing to reducing the impact of the herniation on the venous and arterial flow, causing hyperoxygenation<sup>11,25,33</sup>.

The indirect effect is perceived by modifying the decomposition of arachidonic acid in inflammatory prostaglandins. As a result, there is a decrease in inflammatory components and pain regression<sup>8,27,29</sup>. In addition, the disappearance of the herniated material decreases mechanical irritation, reducing axon sensitivity. On the other hand, endogenous analgesic

substances released during perineural ischemia or neural inflammation present in the spinal ganglion and nerve roots that can stimulate the nociceptors cease to exist<sup>3</sup>.

A recent study has raised the hypothesis that after the administration of percutaneous injections humoral immunity is suppressed, leading to low levels of IgG and IgM. Consequently, the pain score in the Visual Analog Scale (VAS), which uses a 10 cm ruler and a 10-point scale, with zero indicating no pain and 10 the most severe pain, in addition to intermediate scores from 1 to 3, which indicate mild pain, and 4 to 6, which indicate moderate pain that affects sleep. However, its validation was limited by the short follow-up period of only 12 months, indicating that in order to subscribe to his theory, the long-term effectiveness requires further studies<sup>25</sup>.

# **COMPLICATIONS**

Due to its improper use by charlatans and unprepared doctors, erroneous indications in the recent past have made its use dangerous, thus contributing to a bad reputation of the therapy<sup>5.19</sup>, causing some states of the United States<sup>7.19</sup>, as well as in Brasil<sup>7</sup>, to withdraw permissions of its use. Therefore, it is vital to know possible complications.

However infrequent, and even considering the absence of adverse effects when using the concentrations already mentioned in this article, complications should be considered, such as: thunderclap headaches due to pneumocephalus, with only three cases reported in the literature 14.23; Anton Syndrome, only three cases up until now6; reduction of visual acuity; vitreous hemorrhage; subcutaneous hematoma; syncope; air embolism; vertebrobasilar ischemic cerebral accident; pyogenic discitis; lesion to the dorsal and ventral root, causing sciatic pain; and local infection due to lack of proper hygiene and asepsis 3,15,34-36.

#### FINAL CONSIDERATIONS

The literature confirms that, in clinical practice, it is safe to use oxygen-ozone therapy through percutaneous injections for the treatment of lumbar pain, particularly when compared to surgery and drug therapy<sup>31</sup>. The complications are minimal, provided the strict criteria in the patient selection are followed, with the presence of disc herniations visualized by computed tomography or guided by fluoroscopy, with an accurate diagnosis of spinal level, and trained and experienced physicians to perform the procedure, except for the intramuscular approach. It is also required to use the correct dosage of ozone, and follow-up after the procedure must be conducted by a neuroradiologist<sup>3,7,28,33,37</sup>.

# **CONCLUSION**

We conclude that minimally invasive therapy with oxygen-ozone and its minor complications or side effects make this procedure effective and with a favorable analgesic profile. However, it is necessary to draw up medical guidelines to assist in strict control and systematic procedures, thus avoiding damage to patients, since we could not find any in the publications analyzed.

# Conflicts of interest

The authors declare there are no conflicts of interest in the present paper.

# Author's Contribution

Luciano Timbó Barbosa: Assessment and selection of papers and preparation of the manuscript.

Célio Fernando de Sousa Rodrigues: Assessment and correction of the manuscript.

Raul Ribeiro de Andrade: Assessment and selection of papers. Fabiano Timbó Barbosa: Assessment and correction of the manuscript.

### **RESUMO**

**INTRODUÇÃO**: Dor lombar é um dos principais motivos de consultas médicas, provocando afastamento das rotinas diárias, por ser incapacitante, resultando em danos sociais e pessoais. Dentre os tratamentos complementares, a ozonioterapia oferece analgesia para a maioria dos pacientes e com mínimos relatos de complicações. Entretanto, grandes questionamentos sobre sua efetividade clínica ainda não foram respondidos, além de haver relatos de complicações graves.

**OBJETIVO**: Descrever o uso da ozonioterapia no tratamento da dor lombar, enfocando seus efeitos favoráveis e desfavoráveis, e seu perfil analgésico.

**MÉTODOS**: Foi realizada uma pesquisa bibliográfica transversal com artigos científicos obtidos das bases de dados PubMed, Lilacs e Scopus, utilizando os descritores: "Ozônio", "Terapia", "Dor lombar", "Complicação", "Hérnia de Disco", "Diretriz", "Protocolo", "Padrões", "Critérios".

RESULTADOS: A literatura pesquisada corrobora que, na prática clínica, há segurança na utilização da terapia com a mistura oxigênio-ozônio por meio de injeções percutâneas para o tratamento de dor lombar, principalmente quando comparada às cirurgias e ao uso de medicamentos, desde que sejam seguidos critérios rígidos.

**CONCLUSÃO:** O procedimento é efetivo e tem perfil analgésico favorável. No entanto, é necessária a confecção de uma diretriz médica que auxiliará no controle rígido e sistemático do mesmo.

PALAVRAS-CHAVE: Ozônio/uso terapêutico. Dor lombar. Compressão da medula espinal. Deslocamento do disco intervertebral.

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