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Pacifier-sucking habit duration and frequency on occlusal and myofunctional alterations in preschool children

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Submitted: Mar 25, 2014 Accepted for publication: Aug 13, 2014 Last revision: Nov 04, 2014 **Abstract:** The objective of this study was to evaluate the association of pacifier-sucking habit with occlusal and oral myofunctional alterations in preschool children. Eighty-four 2- to 5-year-old children participated in the study. Data on duration and frequency of pacifier use were collected from parents or guardians. Occlusal and oral myofunctional characteristics were examined by a dentist and a speech therapist, respectively. Chi-square tests and Poisson regression were used to analyze the data. The occlusal characteristics that were significantly associated with a pacifier-sucking habit were anterior open bite, altered canine relation, posterior crossbite, increased overjet, and malocclusion. The oral myofunctional characteristics that were significantly associated with a pacifier-sucking habit were resting lip position, resting tongue position, shape of the hard palate, and swallowing pattern. The strongest associations were for anterior open bite (prevalence ratio [PR] = 11.33), malocclusion (PR = 2.33), altered shape of the hard palate (PR = 1.29), and altered swallowing pattern (PR = 1.27). Both duration and frequency of pacifier-sucking habit were associated with occlusal and oral myofunctional alterations. These results emphasize the need for pediatric dentists to advise parents and caregivers about the risks of prolonged pacifier use and refer children to professionals for multidisciplinary assistance to minimize these risks whenever necessary.

Keywords: Pacifier; Malocclusion; Stomatognathic System.

Introduction

Pacifier sucking is a common non-nutritive habit in several parts of the world. In Western countries, pacifiers are used by 75–95% of the infant population during the first 2 years of the child's life. 1,2,3,4 The use of pacifiers is so culturally established that they have even been integrated into layettes. Parents frequently appease crying babies with pacifiers. However, the prolonged use of pacifiers can be harmful to a child's development, affecting occlusion and the stomatognathic system.

Several studies have reported the influence of non-nutritive sucking habits on the development of dental malocclusion. ^{2,3,6,7,8,9,10,11,12,13} They highlight the consequences of prolonged daily use of pacifiers on children's orofacial health, with 48 months of pacifier use needed for the onset of malocclusion. ¹ Malocclusion can have an important impact on the life quality of children, negatively affecting their social interactions and psychological well-being. ¹¹

However, the prevalence of some changes in occlusion, such as anterior open bite, may decrease with age, indicating that malocclusion has the potential to self-correct with elimination of the stimulus, particularly if the sucking habit is discontinued at around 2 to 3 years of age. 9,14,15 Hence, it is important to increase our understanding of the association of prolonged pacifier use with alterations in occlusion and oral myofunction in preschool children who are being introduced to oral health care. This would provide pediatric dentists with clinical evidence to guide the children's parents and guardians.

Therefore, the aim of the present study was to evaluate the association of the duration and frequency of a pacifiersucking habit with occlusal and oral myofunctional alterations in a group of preschool children.

Methodology

This cross-sectional study was conducted on a convenience sample of preschool children enrolled at an Early Childhood Education Centre located in a highsocial-risk area in the municipality of Maringá, Brazil. The initial sample consisted of 104 preschool children with complete primary dentition. Children presenting any of the following characteristics were excluded from the study: general health problems that prevented clinical examination, finger sucking, extensive caries affecting the vertical dimension and/or the mesiodistal diameter of teeth, and the presence of permanent teeth. Children who did not cooperate with the study procedures were also excluded. All study procedures were approved by the Universidade Estadual de Maringá - Ethics Committee on Research with Human Subjects (Protocol CAAE no. 0482.0.093.000-10).

The objective of the study was fully explained to children's caregivers (parents or legal guardians), and written informed consent was obtained. Caregivers were then asked about their child's use of pacifiers. Duration of use was classified as never, ≤ 2 years, ≥ 2 and ≤ 3 years, or ≥ 3 years. Frequency of use was classified as limited (pacifier used only for sleeping), moderate (pacifier used intermittently for ≤ 8 hours during the day), or intense (pacifier used continuously throughout the day and night). 16,17

Clinical examinations were conducted at the school under natural lighting by two examiners:

a pediatric dentist (VSCN) and a speech therapist (MEJ). Examinations included evaluations of dental occlusion and oral myofunction. Examiner calibration was conducted in a pilot study performed with 30 randomly chosen children. Two examinations separated by an interval of 10 days were performed for each child. Intra-examiner reliability for all variables was evaluated using the kappa statistic.

Dental occlusion was evaluated when the child was seated in front of the examiner. To ensure that natural occlusion was evaluated, the child was asked to open and close his/her mouth several times and to swallow saliva before the examination began.^{16,17} The following characteristics were evaluated during the examination: (i) anterior open bite, 16,17 (ii) anterior crossbite, 10 (iii) overjet, 1,7,16,18 (iv) midline deviation, (v) overbite, 19 (vi) canine relation, 7,12 (vii) intercanine distance, 16,17 (viii) posterior crossbite,7 and (ix) malocclusion. Overjet was measured using an endodontic millimeter ruler and classified as increased if > 3 mm. Midline deviation was assessed with a piece of dental floss placed on the midline of the child's face, and intercanine distance was measured using a bow compass. Overbite was classified as $\leq 50\%$ or > 50% of the crown size, with ≤ 50% considered normal and > 50% considered altered. Canine relation was classified as class I, II, or III, with class I considered normal and classes II and III considered altered. Malocclusion was defined as the presence of at least one occlusal change, such as anterior open bite, altered intercanine relation, posterior crossbite, or increased overjet.

Oral myofunction was intra- and extra-orally evaluated. The following characteristics were noted and classified as normal or altered: (i) lip position at rest – fully closed (normal) or partially closed (altered);²⁰ (ii) lip closure – without tension (normal) or with tension (altered);²⁰ (iii) tongue position at rest – touching the palate (normal), resting on the floor of the mouth (altered), or interposed between the arches (altered);²¹ (iv) lingual frenulum – normal or short;^{14,20} (v) cheek symmetry – symmetric (normal) or asymmetric (altered);¹⁶ (vi) shape of the hard palate – semi-circular (normal), ogival (altered), or deep (altered);¹⁶ (vii) breathing at rest – predominantly nasal (normal), predominantly oral (altered), or mixed;²¹ (viii) nasal flow –present (normal) or absent

(altered);21 (ix) swallowing pattern -no tension on the lips and perioral muscles and no tongue protrusion (normal), atypical (altered), or adapted (altered); (x) chewing pattern - predominantly bilateral (normal) or predominantly unilateral (altered); and (xi) speech - considered altered in the presence of anterior or lateral tongue protrusion or speech delay. 16 Breathing at rest was evaluated with a Glatzel mirror and nasal flow was evaluated with a Glatzel mirror placed near the nostrils when the child was instructed to breath through the nose. Swallowing pattern was evaluated when the child was eating a chocolate biscuit, and chewing pattern was evaluated using the marks left by the biscuit on dental and lingual aspects. Speech was evaluated when the child produced Portuguese words with the phonemes /t, d, n, l, s, z/.1

Preliminary bivariate analyses (chi-square test) were run to identify occlusion and oral myofunction variables that were significantly associated with pacifier use. These variables were entered into a Poisson regression to predict the likelihood of alterations according to the duration and frequency of pacifier use. In addition, the intercanine distance was compared between children with and without a pacifier-sucking habit using a t-test for independent samples. All statistical analyses were performed using SPSS 15.0 (SPSS Inc., Chicago, USA) with the alpha level set at 0.05.

Results

The final sample consisted of 84 children between 2 and 5 years of age (mean age 42 ± 11 months) with a predominance of males (61.9%). Data on gender and age distribution and on the duration and frequency of pacifier use are presented in Table 1.

The mean intra-examiner correlation coefficient (kappa statistic) was 0.96 for dental occlusion variables and 0.89 for oral myofunction variables. The prevalence of occlusal and myofunctional alterations in children with and without a pacifier-sucking habit are presented in Table 2. Chi-square analysis showed that the occlusal alterations significantly associated with a pacifier-sucking habit were anterior open bite, altered intercanine relation, posterior crossbite, increased overjet, and malocclusion. The myofunctional alterations significantly associated with a pacifier-sucking habit were a partially closed lip position at rest, lip closure with tension,

Table 1. Participant characteristics.

n (%)
52 (61.9)
32 (38.1)
12 (14.3)
30 (35.7)
33 (39.3)
9 (10.7)
48 (57.1)
11 (13.1)
9 (10.7)
15 (17.9)
48 (57.1)
13 (15.5)
12 (14.3)
11 (13.1)

^{*1} participant did not provide this information.

tongue positioned on the floor of the mouth or between the arches when at rest, ogival- or deep-shaped hard palate, and atypical or adapted swallowing pattern. The proportion of children who were mouth breathers was higher among those with a pacifier-sucking habit than those without a pacifier-sucking habit. Eight of the 36 children with a pacifier-sucking habit (22.2%) were predominantly mouth breathers, whereas only 4 of the 48 children without a pacifier-sucking habit (8.3%) were predominantly mouth breathers.

The mean maxillary intercanine distance was significantly lower in children with a pacifier-sucking habit (27.79 mm) than in children without a pacifier-sucking habit (29.51 mm; p < .0001). By contrast, the mean mandibular intercanine distance was similar in the two groups (23.44 mm).

The results of Poisson regression analysis of the occlusal and oral myofunctional variables significantly associated with a pacifier-sucking habit are presented in Tables 3 and 4, respectively. The likelihood of malocclusion was significantly increased with all durations and frequencies of pacifier use, with a prevalence ratio (PR) of 2.33 in children with a pacifier-sucking habit compared to children without a pacifier-sucking habit (p < .001). In general, all components of malocclusion tended to be more affected by the duration of pacifier-sucking habit than by the frequency

Table 2. Prevalence of dental occlusion and oral myofunction alterations.

Dental Occlusion Alteration	Pacifier Use n (%)	No Pacifier Use n (%)	Oral Myofunction Alteration	Pacifier Use n (%)	No Pacifier Use n (%)
*Malocclusion	27 (77.8)	16 (33.3)	Asymmetric cheeks	26 (72.2)	37 (77.1)
Midline deviation	13 (36.1)	17 (35.4)	*Atypical tongue position at rest	14 (38.9)	9 (18.8)
*Altered canine rela- tion on at least one side (Class II or III)	19 (52.8)	10 (20.8)	*Atypical swallowing pattern	10 (27.8)	10 (20.8)
*Increased overjet	14 (38.9)	7 (14.6)	*Atypical hard palate shape	27 (75.0)	16 (33.3)
Overbite > 50%	3 (8.3)	17 (35.4)	*Lips partially closed at rest	23 (63.9)	19 (39.6)
*Anterior open bite	17 (47.2)	2 (4.2)	*Lips closed with tension	23 (63.9)	17 (35.4)
*Posterior crossbite	10 (27.8)	3 (6.3)	Atypical speech	14 (38.9)	20 (41.7)
Anterior crossbite	1 (2.8)	2 (4.2)	Predominantly unilateral chewing	7 (19.4)	8 (16.7)
			Short lingual frenulum	6 (16.7)	7 (14.6)
			Mouth breathing at rest	8 (22.2)	4 (8.3)

^{*}Significant difference between children with and without a pacifier-sucking habit (Chi-square test; ho < .05).

of pacifier use. Of all components of malocclusion, anterior open bite showed the strongest association with pacifier use (PR = 11.33). The PR of anterior open bite increased with the frequency of pacifier use, but no clear pattern was observed for frequency of pacifier use with the other parameters studied.

The oral myofunctional alterations most significantly associated with a pacifier-sucking habit were altered shape of the hard palate (PR = 1.29; p < .001) and altered swallowing pattern (PR = 1.27; p < .001). With the exception of altered shape of the hard palate, which showed increasing PR with longer duration and higher frequency of pacifier use, no myofunctional alterations were associated with duration or frequency of pacifier use in a clear pattern. Therefore, the results indicate that oral myofunctional alterations are associated with a pacifier-sucking habit of any duration and frequency.

Discussion

Although several studies have been conducted on pacifier use and its consequences, this is the first to evaluate alterations in occlusion and oral myofunction in the same subjects. The data presented in this study confirm the association between prolonged pacifier use and alterations in occlusion and myofunction in young children.

Malocclusion was significantly associated with pacifier use, with a clear association between the presence of malocclusion and both the duration and frequency of pacifier use. Anterior open bite was the occlusal characteristic most affected by a pacifier-sucking habit, with the likelihood of anterior open bite increasing with both duration and frequency of pacifier sucking, a finding that is in agreement with the results of previous studies.^{7,10,16,18} Other occlusal alterations significantly associated with pacifier use were posterior crossbite, altered canine relation, and increased overjet, with the likelihood of posterior crossbite significantly increased when the duration of the pacifier-sucking habit was more than 2 years. Similar associations have been reported in the literature.^{1,9,10,15}

With a long duration and high frequency of pacifier use, there is a tendency for hyperfunction of the buccinator muscle, which causes a deficiency in transverse growth. In addition, in the presence of a pacifier, the tongue is kept low in the mouth and does not exert pressure against the hard palate, and this can aggravate ogival palate problems and lead to posterior crossbite. The associations of pacifier use with altered intercanine relation and increased overjet shown by Poisson regression in this study have also been

Table 3. Results of Poisson regression analysis of the association between pacifier use and the presence of dental occlusion alterations in preschool children.

	AOB PR (95%CI)	ICR PR (95%CI)	PCB PR (95%CI)	OJ PR (95%CI)	MO PR (95%CI)
Use					
No	1.00	1.00	1.00	1.00	1.00
Yes	11.33*** (2.79-45.95)	2.53** (1.34-4.76)	4.44* (1.31-14.98)	2.66* (1.20-5.92)	2.33*** (1.50-3.61)
Duration					
Never used	1.00	1.00	1.00	1.00	1.00
≤ 2 years	8.72** (1.72-41.77)	2.18 (0.93-5.10)	2.90 (0.55-15.37)	3.11*(1.21-7.99)	2.18** (1.27-3.74)
$>$ 2 and \leq 3	10.66** (2.28-49.79)	2.13 (0.85-5.32)	7.11** (1.90-26.53)	1.51 (0.37-6.18)	2.33** (1.37-3.96)
> 3 years	14.40*** (3.48-59.47)	2.88** (1.44-5.73)	4.26* (1.07-16.96)	3.20** (1.33-7.65)	2.40*** (1.49-3.85)
Frequency					
Never	1.00	1.00	1.00	1.00	1.00
Limited	5.53* (1.03-29.74)	1.84 (0.76-4.45)	6.15** (1.68-22.43)	2.63* (0.99-6.96)	2.30*** (1.40-3.80)
Moderate	14.00*** (3.32-59.00)	4.00*** (2.18-7.33)	4.00 (0.92-17.39)	4.00*** (1.73-9.22)	2.50*** (1.55-4.01)
Intense	15.27*** (3.66-63.71)	1.74 (0.67-4.54)	2.90 (0.55-15.37)	1.24 (0.29-5.20)	2.18** (1.27-3.74)

p < .05; *p < .01; **p < .001.

PR: prevalence ratio; CI: confidence interval; AOB: anterior open bite; ICR: (altered intercanine OR intercanine) relation; PCB: posterior crossbite; OJ: (increased overjet OR overjet); MO: malocclusion.

demonstrated with a pacifier-sucking habit duration longer than 3 years in previous studies. 9,10 However, Larsson *et al.* 19 found no association between these variables. These conflicting results may be due to the fact that increased overjet is more strongly associated with finger sucking than with pacifier sucking. 1,17

The results of the present study show that use of a pacifier was associated with reduced maxillary intercanine distance, supporting previous reports that use of a pacifier for longer than 3 years with a moderate frequency was associated with alterations in the shape of the hard palate. This may indicate that alterations in the shape of the hard palate are related to reduced intercanine distance and altered position of the tongue at rest. Transverse growth is affected not only by sucking habits but also by chewing and swallowing patterns. Therefore, even at a low frequency of pacifier use, there was a relation between posterior crossbite and inadequate swallowing.

Changes in swallowing pattern are associated with a prolonged pacifier-sucking habit, which delays maturation of the swallowing reflex, and with malocclusions such as anterior open bite and posterior crossbite. ^{7,16,21,22} Mouth breathing or interference with nasal breathing may also affect craniofacial growth

and the position of the teeth. The higher proportion of mouth breathers among pacifier users in the present study may be due to the fact that the altered position of the tongue in the mouth causes pacifier users to keep their mouth open and, consequently, develop a mouth-breathing pattern.

The fact that both occlusal and myofunctional characteristics were evaluated in this study offered the opportunity to observe how occlusal and myofunctional alterations were interdependent and how they were affected by different pacifier use patterns. Our results showed that occlusal and myofunctional alterations associated with pacifier use can be described as a sequence of events. Duration had a greater impact on occlusion and myofunction than did frequency of use, and there was often no clear pattern between frequency of pacifier use and alterations in occlusion and myofunction. The children studied all came from similar family and social backgrounds and the sample was therefore relatively homogenous, but the small number of children studied was a limitation of this study. A larger sample size may have enabled us to establish a clear pattern between alterations in occlusion and myofunction and the duration and frequency of pacifier use. Another possible limitation is the reliability of the

Table 4. Results of Poisson regression analysis of the association between pacifier use and the presence of oral myofunction alterations in preschool children

	LR PR (95%CI)	LO PR (95%CI)	TR PR (95%CI)	SHP PR (95%CI)	SW PR (95%CI)
Use					
No	1.00	1.00	1.00	1.00	1.00
Yes	1.17* (1.02-1.35)	1.21** (1.05-1.39)	1.15** (1.03-1.29)	1.29*** (1.14-1.47)	1.27*** (1.14-1.43)
Duration					
Never used	1.00	1.00	1.00	1.00	1.00
≤2 years	1.17 (0.96-1.43)	1.28** (1.06-1.53)	1.20** (1.06-1.36)	1.28** (1.06-1.53)	1.37*** (1.24-1.51)
> 2 and ≤ 3 years	1.11 (0.89-1.40)	1.15 (0.91-1.45)	1.05 (0.85-1.29)	1.31** (1.09-1.58)	1.21* (1.01-1.46)
> 3 years	1.19* (1.00-1.42)	1.18 (0.98-1.42)	1.17* (1.03-1.33)	1.33*** (1.14-1.55)	1.21*** (1.01-1.46)
Frequency					
Never	1.00	1.00	1.00	1.00	1.00
Limited	1.21*(1.01-1.45)	1.19 (0.99-1.45)	1.66* (1.01-1.33)	1.19 (0.99-1.45)	1.31*** (1.16-1.49)
Moderate	1.25** (1.06-1.49)	1.29** (1.09-1.54)	1.21** (1.07-1.36)	1.35*** (1.16-1.58)	1.20* (1.01-1.42)
Intense	1.04 (0.83-1.31)	1.14 (0.92-1.42)	1.09 (0.91-1.30)	1.34*** (1.14-1.58)	1.30*** (1.14-1.49)

p < .05; p < .01; p < .01; p < .001.

information provided by caregivers. However, care was taken to obtain accurate information from caregivers, and we believe that the data obtained give an accurate reflection of pacifier use. Studies on non-nutritive sucking habits are broad in scope, with factors such as facial growth and breast- and bottle-feeding patterns being taken into consideration, and longitudinal studies that record pacifier use from infancy to early childhood are needed for a better understanding of the dynamics of occlusal and oral myofunctional alterations.

Conclusion

The results of the present study emphasize the importance of recognizing early occlusal and myofunctional changes caused by a pacifier-sucking habit in young children. Dentists working with young children must be aware of the association of pacifier use with occlusal and myofunctional characteristics and advise parents and caregivers of the risks involved in the prolonged use of pacifiers. Recommendations for the progressive discontinuation of pacifier use should be provided until the sucking habit ceases completely and should be tailored to each child's individual circumstance. Moreover, whenever necessary, multidisciplinary assistance should be recommended to minimize major irreversible deleterious effects of pacifier use.

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PR: prevalence ratio; CI: confidence interval; LR: altered lip position at rest; LO: lips occluded; TR: altered tongue position at rest; SHP: altered shape of the hard palate; SW: altered swallowing.

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